

**PATENT**  
**UTSD:703US**

**APPLICATION FOR UNITED STATES LETTERS PATENT**  
**for**  
**PROTEIN/SOLUBILITY FOLDING ASSESSED BY STRUCTURAL**  
**COMPLEMENTATION**

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## BACKGROUND OF THE INVENTION

The U.S. Government may own rights in the application pursuant to funding from the National Institutes of Health (DK49835).

### 1. Field of the Invention

The present invention relates to the fields of biochemistry, cellular biology and molecular biology. More particularly, it relates to the field of protein biochemistry, and specifically, to the use of an assay for determining protein folding and solubility.

### 2. Description of Related Art

There are a wide variety of potential applications for a genetic system enabling rapid and efficient evaluation of protein solubility characteristics *in vivo*. One of the cornerstones of biotechnology is the ability to express target proteins in functional form *in vivo* in genetically-engineered organisms. However, many important target proteins are not efficiently expressed in soluble form in bacteria such as *E. coli*, due at least in part to the complexity of the protein folding process *in vivo* (Houry *et al.*, 1999). When encountering a target protein that fails to be expressed in soluble form *in vivo*, the yield of soluble protein can often be improved by optimizing various factors such as the primary sequence of the target protein (Huang *et al.*, 1996) or the genetic background or growth conditions of the bacterium (Hung *et al.*, 1998; Brown *et al.*, 1997; Blackwell & Horgan, 1991; Bourot *et al.*, 2000; Sugihara & Baldwin, 1988; Wynn *et al.*, 1992). However, existing assays for protein expression in soluble form are tedious, usually requiring lysis and fractionation of cells followed by protein analysis by SDS-polyacrylamide gel electrophoresis. Using this traditional approach, screening for protein constructs and/or physiological conditions yielding improved solubility is inefficient, and genetic selection is impossible.

Protein folding diseases represent a second area in which protein solubility characteristics are of vital medical and technological importance (Thomas *et al.*, 1995; Dobson, 1999). These diseases, which have proven particularly refractory to pharmaceutical development, are caused either by misfolding of a protein during biosynthesis subsequent to acquiring some mutation

(Brown *et al.*, 1997; Thomas *et al.*, 1992; Rao *et al.*, 1994) or by aberrant protein processing leading to the formation of an aggregation-prone product, such as the peptide forming the amyloid plaques associated with Alzheimer's disease (Tan & Pepys, 1994; Harper & Lansbury, 1997), SOD1 in amyotrophic lateral sclerosis (Bruijn *et al.*, 1998),  $\alpha$ -synuclein in Parkinson's disease (Galvin *et al.*, 1983), amyloid A and P deposits in systemic amyloidosis (Hind *et al.*, 1983), transthyretin fibrils in fatal familial insomnia (Colon & Kelly, 1992) and the intranuclear inclusions associated with polyglutamine expansions which cause Huntington's disease (Martin & Gusella, 1986; HD CRG, 1993; Davies *et al.*, 1997), spinocerebellar ataxia (Wells & Warren, 1998), spinobulbar muscular atrophy (La Spada *et al.*, 1991), and Machado-Joseph Disease (Kawaguchi *et al.*, 1994). The ability to rapidly and efficiently screen for protein solubility *in vivo* could also be applied to the development of assays for pharmaceutical compounds preventing the misfolding or aggregation of proteins involved in protein folding diseases (*i.e.*, assays for compounds that prevent precipitation of such aggregation-prone proteins).

Thus, there remains a need in the field for improved methods of screening for protein folding and solubility.

### SUMMARY OF THE INVENTION

The present invention involves the use of a genetic system based on structural complementation (Richards & Vithayati, 1959; Ullmann *et al.*, 1967; Taniuchi & Anfinsen, 1971; Zabin & Villarejo, 1975; Pecorari *et al.*, 1993; Schonberger *et al.*, 1996) of a selectable marker protein can be used as the basis of a direct *in vivo* solubility assay. Structural complementation involves the division of a protein into two component segments which must be combined to form a stable and fully functional structure. The specific implementation of the method is an adaptation of the classic  $\alpha$ -complementation system of  $\beta$ -galactosidase ( $\beta$ -gal) (Ullmann *et al.*, 1967). However, the same concept could potentially be applied to other selectable genetic markers like chloramphenicol transacetylase or even screenable markers like the green fluorescent protein (although appropriately complementing fragments of these proteins would have to be developed first).  $\beta$ -gal can be divided into two fragments ( $\alpha$  and  $\omega$ ) capable of associating with each other to form an active enzyme (Ullmann *et al.*, 1967). Redistribution of

the  $\alpha$ -fragment from the soluble to the insoluble fraction in *E. coli* cells leads to a reduction in the level of  $\beta$ -gal activity which can be assayed either during growth on indicator agar plates using the chromogenic substrate X-gal, or in suspension culture. Fusion of the  $\alpha$ -fragment to the C-terminus of a target protein leads to the formation of a chimeric protein with solubility properties similar to that of the target protein alone. Thus,  $\beta$ -gal activity levels report the solubility of the target fusion. By contrast, three extant systems for monitoring solubility and misfolding *in vivo* rely on the use of fusions with the full-length marker proteins  $\beta$ -gal (Lee *et al.*, 1990), GFP (Waldo *et al.*, 1999) and CAT (Maxwell *et al.*, 1999). It is well documented that the solubility properties of protein fusions to intact marker enzymes tend to be dominated by the solubility properties of the marker enzyme, as evidenced by the use of MBP (Ko *et al.*, 1993; Kapust *et al.*, 1999), thioredoxin (Papouchado *et al.*, 1997), and GST (Wang *et al.*, 1999) fusions to enhance the solubility of some otherwise insoluble protein constructs. Such a colorimetric plate assay should be readily adapted to efficient high-throughput screening.

Thus, there is provided, a method for assessing protein folding and/or solubility comprising (a) providing an expression construct comprising (i) a gene encoding fusion protein, said fusion protein comprising a protein of interest fused to a first segment of a marker protein, wherein said first segment does not affect the folding or solubility of the protein of interest, and (ii) a promoter active in said host cell and operably linked to said gene, (b) expressing said fusion protein in a host cell that also expresses a second segment of said marker protein, wherein said second segment is capable of structural complementation with said first segment, and (c) determining structural complementation, wherein a greater degree of structural complementation, as compared to structural complementation observed with appropriate negative controls, indicates proper folding and/or solubility of said protein.

The fusion may be N- or C-terminal to said protein of interest. The marker protein may be selected from the group consisting of a target binding protein, an enzyme, a protein inhibitor, and a chromophore. Examples include ubiquitin, green fluorescent protein, blue fluorescent protein, yellow fluorescent protein, luciferase, aquorin,  $\beta$ -galactosidase, cytochrome c, chymotrypsin inhibitor, RNase, phosphoglycerate kinase, invertase, staphylococcal nuclease, thioredoxin C, lactose permease, amino acyl tRNA synthase, and dihydrofolate reductase. In the

particular case of  $\beta$ -galactosidase, the first segment is the  $\alpha$ -peptide of  $\beta$ -galactosidase, and said second segment is the  $\omega$ -peptide of  $\beta$ -galactosidase. In certain embodiments the marker protein is associated with a detectable phenotype, including enzymatic activity, chromophore or fluorophore activity.

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The protein of interest may be Alzheimer's amyloid peptide ( $A\beta$ ), SOD1, presenillin 1 and 2,  $\alpha$ -synuclein, amyloid A, amyloid P, CFTR, transthyretin, amylin, lysozyme, gelsolin, p53, rhodopsin, insulin, insulin receptor, fibrillin,  $\alpha$ -ketoacid dehydrogenase, collagen, keratin, PRNP, immunoglobulin light chain, atrial natriuretic peptide, seminal vesicle exocrine protein,  $\beta$ 2-microglobulin, PrP, precalcitonin, ataxin 1, ataxin 2, ataxin 3, ataxin 6, ataxin 7, huntingtin, androgen receptor, CREB-binding protein, dentatorubral pallidoluysian atrophy-associated protein, maltose-binding protein, ABC transporter, glutathione S transferase, and thioredoxin.

The gene encoding the second segment may be carried on a chromosome of said host cell or episomally. The host cell may be a bacterial cell, an insect cell, a yeast cell, a nematode cell, and a mammalian cell. Examples include *E. coli*., *C. elegans*, or *S. fugeia*, and a variety of mammalian cells. Preferred promoters include *Taq* promoter; T7 promoter, or *P<sub>lac</sub>* promoter (bacterial), CupADH, Gal (yeast) or PepCk or tk (mammalian).

In particular embodiment, the method utilizes a negative control that is a host cell lacking the second segment of said marker protein and/or a fusion protein that is improperly folded and/or insoluble.

In another embodiment, there is provided, a method for screening protein folding and/or solubility mutants comprising (a) providing a gene encoding fusion protein comprising (i) a protein of interest and (ii) a first segment of a marker protein, wherein said first segment does not affect the folding or solubility of the protein of interest, , wherein said fusion protein is not properly folded and/or soluble when expressed in said host cell, and (ii) a promoter active in said host cell and operably linked to said gene, wherein said fusion protein is not properly folded and/or soluble when expressed in said host cell, (b) mutagenizing that portion of the gene encoding said protein of interest, (c) expressing said fusion protein in a host cell that expresses a

second segment of said marker protein, wherein said second segment is capable of structural complementation with said first segment, and (d) determining structural complementation, wherein a relative increase in structural complementation, as compared to the structural complementation observed with the unmutagenized fusion protein, indicates an increase in proper folding and/or solubility of said protein.

In yet another embodiment, there is provided a method for screening candidate modulator substance that modulates protein folding and/or solubility comprising (a) providing an expression construct comprising (i) a gene encoding fusion protein, said fusion protein comprising a protein of interest fused to a first segment of a marker protein, wherein said first segment does not affect the folding or solubility of the protein of interest, and (ii) a promoter active in said host cell and operably linked to said gene, (b) expressing said fusion protein in a host cell that expresses a second segment of said marker protein, wherein said second segment is capable of structural complementation with said first segment, (c) contacting the host cell with said candidate modulator substance; and (d) determining structural complementation, wherein a relative change in structural complementation, as compared to the structural complementation observed in the absence of said candidate modulator substance, indicates that said candidate modulator substance is a modulator of protein folding and/or solubility. The candidate modulator substance may be a protein, a nucleic acid or a small molecule.

Following long-standing patent language convention, the terms "a" or "an," when used in conjunction with "comprising," may mean one or more than one, herein the description and claims.

#### **BRIEF DESCRIPTION OF THE DRAWINGS**

The following drawings form part of the present specification and are included to further demonstrate certain aspects of the present invention. The invention may be better understood by reference to one or more of these drawings in combination with the detailed description of specific embodiments presented herein.

**FIG. 1A and 1B:** An *in vivo* solubility assay based on structural complementation. (FIG. 1A) A schematic depicting the complementation solubility assay. P (squares) represents the target protein, and  $\alpha$  (triangles) and  $\omega$  (trapezoids) represent each of the complementing fragments of the tetrameric  $\beta$ -galactosidase. Brackets indicate the concentration dependence of the assay regarding the availability of soluble (folded) target/ $\alpha$  fusion.  $K_d$  is indicated solely to highlight the concentration-dependent equilibrium association/dissociation reaction. (FIG. 1B) A schematic representation of the target protein/ $\alpha$ -fragment C-terminal fusion expression construct ( $\alpha$ -fragment, residues 7-58 from full length  $\beta$ -galactosidase). "HA" indicates the position of the inserted influenza hemagglutinin (HA) immuno-tag (residue sequence YPYDVPDYA) present in some of the constructs examined.

**FIG. 2.** Correlation of  $\beta$ -galactosidase activity with fusion protein solubility and folding. A scatter plot correlating the *in vitro*  $\beta$ -galactosidase activity measured in cell lysates (see Table 1) with the fraction soluble (open circles) and the reported periplasmic yield (filled squares) for each of the MBP/ $\alpha$ -fragment fusion proteins examined.

### DESCRIPTION OF ILLUSTRATIVE EMBODIMENTS

Protein misfolding is the basis of a number of human diseases. It also presents a sizable obstacle to the production of functional recombinant proteins. In addition, there is a tremendous potential to modulate *in vivo* function of proteins by modulating protein folding. To date, the study of misfolding and its circumvention has required development of specific assays for each individual case.

However, for maximum utility, such a method should provide an easily measured signal, be sensitive to subtle changes in the solubility of the target protein over a wide concentration range, allow phenotypic selection of the soluble protein, and have minimal effect on the solubility of the target protein. The present invention offers each of these advantages.

The present invention utilizes generalized fusion constructs and the phenomenon of "structural complementation" to examine protein folding and/or solubility in cell- or organism-

based screening. In a particular embodiment, the  $\alpha$  and  $\omega$  peptides of  $\beta$ -galactosidase are used, the first as a fusion partner for a given protein of interest, in a complementation assay. Where the protein of interest is properly folded, the fusion remains soluble and can associate the other peptide of  $\beta$ -galactosidase, permitting enzyme activity and detection. A variety of different host cells, "structural complementation" pairs (enzymes, binding proteins, chromophores) and target proteins can be used.

The studies presented herein demonstrate that this system reliably reports on the solubility of eight fused target proteins: the maltose binding protein and mutants thereof, the first nucleotide binding domains of the cystic fibrosis transmembrane conductance regulator and the branched chain amino acid transporter from the hyperthermophilic archeon *methanococcus jannaschii*, and the A $\beta$  peptide of the Alzheimer's precursor protein. The fact that the signal produced by the fusions is proportional to the solubility of the nucleotide binding domain targets when expressed without the  $\alpha$ -fragment indicates that this relatively small polypeptide does not significantly effect the solubility of the target protein, unlike fusions to a larger marker protein (e.g., M13P, Harper and Lansbury, 1997). This could provide a significant advantage over two recently reported solubility monitoring systems that rely on fusions with larger soluble proteins, namely full length  $\beta$ -gal (Lee *et al.*, 1990), GFP (Waldo *et al.*, 1999) and CAT (Maxwell *et al.*, 1999). It is well-documented that fusions with highly soluble proteins such as GST (Wang *et al.*, 1999), MBP (Ko *et al.*, 1993), and thioredoxin (Papouchado *et al.*, 1997), and the immunoglobulin binding domain (GB1) (Huth *et al.*, 1997) significantly improve the solubility properties of a variety of expressed proteins. Thus, it is reasonable to expect that in some cases, GFP and CAT may have a significant effect on the solubility of the target.

As mentioned above, this system has several potential uses. For example, recombinant production systems can be tested to determine if the polypeptide to be produced is properly folded. In addition, target proteins may be diagnostic of disease states. The system also could find utility in the development and selection of bacterial strains particularly effective at expressing and folding heterologous proteins, or for phenotypic selection of a wide variety of proteins in their study by random mutagenesis. These powerful approaches currently are limited to proteins which themselves are required for a measurable cellular function. Thus, the present

solubility detection system provides an important avenue for understanding fundamental biological processes such as how primary sequence directs the formation of a unique three-dimensional structure, or the identity and mechanisms of cellular systems important for efficient protein maturation.

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One aspect of the invention is the minimal impact of the fusion partners on the protein of interest. The presence of only "systematic" effects (*i.e.*, similar both in the presence and absence of either drug or mutation) on the solubility of the target permits ready comparison. This actually provides the added advantage of being able to adjust the sensitivity of the assay  
10 depending on the target protein of interest. Recent discovery of mutations in the  $\alpha$  subunit permit "tuning" of the  $\alpha$  -  $\theta$  interaction which also can be used for altering the sensitivity.

Perhaps the most exciting application of the system is the discovery of drugs which modulate the folding of disease related proteins. Previously, the search for pharmaceuticals has  
15 focused on the identification of compounds which inhibit cellular processes. However, the increasing prevalence of diseases associated with protein misfolding such as Huntington's disease, Alzheimer's disease, Parkinson's disease, cystic fibrosis, amyotrophic lateral sclerosis, Creutzfeld-Jacob disease, and some forms of diabetes and cancer presents a new challenge for the pharmaceutical industry. The identification of drugs which target proteins with a propensity  
20 to misfold requires the development of novel screening and assay methodologies such as the  $\alpha$ -complementation system described herein. Encouraging evidence that such pharmaceuticals may be identified has recently been provided by Rastinejad and co-workers (Foster *et al.*, 1999) who reported the identification of a class of compounds which stabilized a folding mutant of p53 in a soluble and functional conformation, thereby rescuing its ability to prevent tumor growth in  
25 mice.

Various aspects of the invention are described, in greater detail, in the following pages.

#### A. Protein Folding and Mutant Proteins

30 Several diseases, such as Alzheimer's disease, Parkinson's disease, Huntington's disease, and others are thought to be the result of, or associated with misfolding *in vivo*. In certain

embodiments, the present invention provides a method of assaying for the presence of protein misfolding in a living cell.

Proteins expressed through recombinant means often misfold, particularly in prokaryotic host cells that lack the processing machinery of an eukaryotic cell. When a protein misfolds, it often becomes less soluble, and may precipitate in the cell as an inclusion body. Additionally, mutations in naturally occurring proteins increase the rate of misfolding when endogenously expressed, as well as when exogenously expressed in a recombinant host cell. In certain embodiments, the present invention allows various mutations, whether natural or produced by the hand of man, to be assayed for their ability to increase or decrease protein misfolding *in vivo*.

### 1. Fusion Proteins

An aspect of the present invention is the discovery that peptides, polypeptides or proteins, useful for alpha complementation, may be joined to a larger soluble protein, polypeptide or peptide, wherein the folding reaction is dominated by the soluble protein, polypeptide or peptide. The soluble protein, peptide or polypeptide may have the same length or amino acid sequence as the endogenously produced protein, polypeptide or peptide. In other embodiments, the soluble protein, peptide or polypeptide may be a truncated protein, protein domain or protein fragment of a larger peptide chain. For example, the folding of the soluble fragments of a membrane embedded or otherwise hydrophobic protein may be used to create a fusion protein.

Fusion proteins are produced by operatively linking at least one nucleic acid encoding at least one amino acid sequence to at least a second nucleic acid encoding at least a second amino acid sequence, so that the encoded sequences are translated as a contiguous amino acid sequence either *in vitro* or *in vivo*. Fusion protein design and expression is well known in the art, and methods of fusion protein expression are described herein, and in references, such as, for example, U.S. Patent 5,935,824, incorporated herein by reference.

In certain embodiments, a peptide, polypeptide or protein may be joined at or near the N-terminal or C-terminal end of a soluble protein, peptide or polypeptide. In certain embodiments, it is contemplated that the alpha complementing peptide or polypeptide may be attached to the

soluble protein, peptide or polypeptide via a linker moiety. One such linker is another peptide, such as described in U.S. Patent 5,990,275, incorporated herein by reference.

## **2. Mutagenesis**

5 Where employed, mutagenesis will be accomplished by a variety of standard, mutagenic procedures. Mutation is the process whereby changes occur in the quantity or structure of an organism. Mutation can involve modification of the nucleotide sequence of a single gene, blocks of genes or whole chromosome. Changes in single genes may be the consequence of point mutations which involve the removal, addition or substitution of a single nucleotide base within  
10 a DNA sequence, or they may be the consequence of changes involving the insertion or deletion of large numbers of nucleotides.

Mutations can arise spontaneously as a result of events such as errors in the fidelity of DNA replication or the movement of transposable genetic elements (transposons) within the  
15 genome. They also are induced following exposure to chemical or physical mutagens. Such mutation-inducing agents include ionizing radiations, ultraviolet light and a diverse array of chemical such as alkylating agents and polycyclic aromatic hydrocarbons all of which are capable of interacting either directly or indirectly (generally following some metabolic biotransformations) with nucleic acids. The DNA lesions induced by such environmental agents  
20 may lead to modifications of base sequence when the affected DNA is replicated or repaired and thus to a mutation. Mutation also can be site-directed through the use of particular targeting methods.

### **a. Random Mutagenesis**

#### **25 i) Insertional Mutagenesis**

Insertional mutagenesis is based on the inactivation of a gene via insertion of a known DNA fragment. Because it involves the insertion of some type of DNA fragment, the mutations generated are generally loss-of-function, rather than gain-of-function mutations. However, there are several examples of insertions generating gain-of-function mutations (Oppenheimer *et al.*  
30 1991). Insertion mutagenesis has been very successful in bacteria and *Drosophila* (Cooley *et al.*

1988) and recently has become a powerful tool in corn (Schmidt *et al.* 1987); *Arabidopsis*; (Marks *et al.*, 1991; Koncz *et al.* 1990); and *Antirrhinum* (Sommer *et al.* 1990).

Transposable genetic elements are DNA sequences that can move (transpose) from one place to another in the genome of a cell. The first transposable elements to be recognized were the Activator/Dissociation elements of *Zea mays*. Since then, they have been identified in a wide range of organisms, both prokaryotic and eukaryotic.

Transposable elements in the genome are characterized by being flanked by direct repeats of a short sequence of DNA that has been duplicated during transposition and is called a target site duplication. Virtually all transposable elements whatever their type, and mechanism of transposition, make such duplications at the site of their insertion. In some cases the number of bases duplicated is constant, in other cases it may vary with each transposition event. Most transposable elements have inverted repeat sequences at their termini. these terminal inverted repeats may be anything from a few bases to a few hundred bases long and in many cases they are known to be necessary for transposition.

Prokaryotic transposable elements have been most studied in *E. coli* and Gram negative bacteria, but also are present in Gram positive bacteria. They are generally termed insertion sequences if they are less than about 2 kB long, or transposons if they are longer. Bacteriophages such as mu and D108, which replicate by transposition, make up a third type of transposable element. elements of each type encode at least one polypeptide a transposase, required for their own transposition. Transposons often further include genes coding for function unrelated to transposition, for example, antibiotic resistance genes.

Transposons can be divided into two classes according to their structure. First, compound or composite transposons have copies of an insertion sequence element at each end, usually in an inverted orientation. These transposons require transposases encoded by one of their terminal IS elements. The second class of transposon have terminal repeats of about 30 base pairs and do not contain sequences from IS elements.

Transposition usually is either conservative or replicative, although in some cases it can be both. In replicative transposition, one copy of the transposing element remains at the donor site, and another is inserted at the target site. In conservative transposition, the transposing element is excised from one site and inserted at another.

5

Eukaryotic elements also can be classified according to their structure and mechanism of transportation. The primary distinction is between elements that transpose *via* an RNA intermediate, and elements that transpose directly from DNA to DNA.

10 Elements that transpose *via* an RNA intermediate often are referred to as retrotransposons, and their most characteristic feature is that they encode polypeptides that are believed to have reverse transcriptionase activity. There are two types of retrotransposon. Some resemble the integrated proviral DNA of a retrovirus in that they have long direct repeat sequences, long terminal repeats (LTRs), at each end. The similarity between these  
15 retrotransposons and proviruses extends to their coding capacity. They contain sequences related to the *gag* and *pol* genes of a retrovirus, suggesting that they transpose by a mechanism related to a retroviral life cycle. Retrotransposons of the second type have no terminal repeats. They also code for *gag*- and *pol*-like polypeptides and transpose by reverse transcription of RNA intermediates, but do so by a mechanism that differs from that of retrovirus-like elements.  
20 Transposition by reverse transcription is a replicative process and does not require excision of an element from a donor site.

Transposable elements are an important source of spontaneous mutations, and have influenced the ways in which genes and genomes have evolved. They can inactivate genes by  
25 inserting within them, and can cause gross chromosomal rearrangements either directly, through the activity of their transposases, or indirectly, as a result of recombination between copies of an element scattered around the genome. Transposable elements that excise often do so imprecisely and may produce alleles coding for altered gene products if the number of bases added or deleted is a multiple of three.

30

Transposable elements themselves may evolve in unusual ways. If they were inherited like other DNA sequences, then copies of an element in one species would be more like copies in closely related species than copies in more distant species. This is not always the case, suggesting that transposable elements are occasionally transmitted horizontally from one species to another.

### ii) *Chemical mutagenesis*

Chemical mutagenesis offers certain advantages, such as the ability to find a full range of mutant alleles with degrees of phenotypic severity, and is facile and inexpensive to perform. The majority of chemical carcinogens produce mutations in DNA. Benzo[a]pyrene, N-acetoxy-2-acetyl aminofluorene and aflatoxin B1 cause GC to TA transversions in bacteria and mammalian cells. Benzo[a]pyrene also can produce base substitutions such as AT to TA. N-nitroso compounds produce GC to AT transitions. Alkylation of the O4 position of thymine induced by exposure to n-nitrosoureas results in TA to CG transitions.

A high correlation between mutagenicity and carcinogenicity is the underlying assumption behind the Ames test (McCann *et al.*, 1975) which speedily assays for mutants in a bacterial system, together with an added rat liver homogenate, which contains the microsomal cytochrome P450, to provide the metabolic activation of the mutagens where needed.

In vertebrates, several carcinogens have been found to produce mutation in the *ras* proto-oncogene. N-nitroso-N-methyl urea induces mammary, prostate and other carcinomas in rats with the majority of the tumors showing a G to A transition at the second position in codon 12 of the Ha-ras oncogene. Benzo[a]pyrene-induced skin tumors contain A to T transformation in the second codon of the Ha-ras gene.

### iii) *Radiation Mutagenesis*

The integrity of biological molecules is degraded by the ionizing radiation. Adsorption of the incident energy leads to the formation of ions and free radicals, and breakage of some covalent bonds. Susceptibility to radiation damage appears quite variable between molecules, and between different crystalline forms of the same molecule. It depends on the total

accumulated dose, and also on the dose rate (as once free radicals are present, the molecular damage they cause depends on their natural diffusion rate and thus upon real time). Damage is reduced and controlled by making the sample as cold as possible.

5 Ionizing radiation causes DNA damage and cell killing, generally proportional to the dose rate. Ionizing radiation has been postulated to induce multiple biological effects by direct interaction with DNA, or through the formation of free radical species leading to DNA damage. These effects include gene mutations, malignant transformation, and cell killing. Although ionizing radiation has been demonstrated to induce expression of certain DNA repair genes in  
10 some prokaryotic and lower eukaryotic cells, little is known about the effects of ionizing radiation on the regulation of mammalian gene expression (Borek, 1985). Several studies have described changes in the pattern of protein synthesis observed after irradiation of mammalian cells. For example, ionizing radiation treatment of human malignant melanoma cells is associated with induction of several unidentified proteins (Boothman *et al.*, 1989). Synthesis of  
15 cyclin and co-regulated polypeptides is suppressed by ionizing radiation in rat REF52 cells, but not in oncogene-transformed REF52 cell lines (Lambert and Borek, 1988). Other studies have demonstrated that certain growth factors or cytokines may be involved in x-ray-induced DNA damage. In this regard, platelet-derived growth factor is released from endothelial cells after irradiation (Witte, *et al.*, 1989).

20 In the present invention, the term "ionizing radiation" means radiation comprising particles or photons that have sufficient energy or can produce sufficient energy *via* nuclear interactions to produce ionization (gain or loss of electrons). An exemplary and preferred ionizing radiation is an x-radiation. The amount of ionizing radiation needed in a given cell  
25 generally depends upon the nature of that cell. Typically, an effective expression-inducing dose is less than a dose of ionizing radiation that causes cell damage or death directly. Means for determining an effective amount of radiation are well known in the art.

30 In a certain embodiments, an effective expression inducing amount is from about 2 to about 30 Gray (Gy) administered at a rate of from about 0.5 to about 2 Gy/minute. Even more preferably, an effective expression inducing amount of ionizing radiation is from about 5 to

about 15 Gy. In other embodiments, doses of 2-9 Gy are used in single doses. An effective dose of ionizing radiation may be from 10 to 100 Gy, with 15 to 75 Gy being preferred, and 20 to 50 Gy being more preferred.

5 Any suitable means for delivering radiation to a tissue may be employed in the present invention in addition to external means. For example, radiation may be delivered by first providing a radiolabeled antibody that immunoreacts with an antigen of the tumor, followed by delivering an effective amount of the radiolabeled antibody to the tumor. In addition, radioisotopes may be used to deliver ionizing radiation to a tissue or cell.

10  
iv) *In Vitro Scanning Mutagenesis*

Random mutagenesis also may be introduced using error prone PCR (Cadwell and Joyce, 1992). The rate of mutagenesis may be increased by performing PCR in multiple tubes with dilutions of templates.

15 One particularly useful mutagenesis technique is alanine scanning mutagenesis in which a number of residues are substituted individually with the amino acid alanine so that the effects of losing side-chain interactions can be determined, while minimizing the risk of large-scale perturbations in protein conformation (Cunningham *et al.*, 1989).

20 In recent years, techniques for estimating the equilibrium constant for ligand binding using minuscule amounts of protein have been developed (Blackburn *et al.*, 1991; U.S. Patents 5,221,605 and 5,238,808). The ability to perform functional assays with small amounts of material can be exploited to develop highly efficient, *in vitro* methodologies for the saturation  
25 mutagenesis of antibodies. The inventors bypassed cloning steps by combining PCR mutagenesis with coupled *in vitro* transcription/translation for the high throughput generation of protein mutants. Here, the PCR products are used directly as the template for the *in vitro* transcription/translation of the mutant single chain antibodies. Because of the high efficiency with which all 19 amino acid substitutions can be generated and analyzed in this way, it is now  
30 possible to perform saturation mutagenesis on numerous residues of interest, a process that can be described as *in vitro* scanning saturation mutagenesis (Burks *et al.*, 1997).

*In vitro* scanning saturation mutagenesis provides a rapid method for obtaining a large amount of structure-function information including: (i) identification of residues that modulate ligand binding specificity, (ii) a better understanding of ligand binding based on the identification of those amino acids that retain activity and those that abolish activity at a given location, (iii) an evaluation of the overall plasticity of an active site or protein subdomain, (iv) identification of amino acid substitutions that result in increased binding.

v) *Random Mutagenesis by Fragmentation and Reassembly*

A method for generating libraries of displayed polypeptides is described in U.S. Patent 5,380,721. The method comprises obtaining polynucleotide library members, pooling and fragmenting the polynucleotides, and reforming fragments therefrom, performing PCR amplification, thereby homologously recombining the fragments to form a shuffled pool of recombined polynucleotides.

b. **Site-Directed Mutagenesis**

Structure-guided site-specific mutagenesis represents a powerful tool for the dissection and engineering of protein-ligand interactions. The technique provides for the preparation and testing of sequence variants by introducing one or more nucleotide sequence changes into a selected DNA.

Site-specific mutagenesis uses specific oligonucleotide sequences which encode the DNA sequence of the desired mutation, as well as a sufficient number of adjacent, unmodified nucleotides. In this way, a primer sequence is provided with sufficient size and complexity to form a stable duplex on both sides of the deletion junction being traversed. A primer of about 17 to 25 nucleotides in length is preferred, with about 5 to 10 residues on both sides of the junction of the sequence being altered.

The technique typically employs a bacteriophage vector that exists in both a single-stranded and double-stranded form. Vectors useful in site-directed mutagenesis include vectors such as the M13 phage. These phage vectors are commercially available and their use is

generally well known to those skilled in the art. Double-stranded plasmids are also routinely employed in site-directed mutagenesis, which eliminates the step of transferring the gene of interest from a phage to a plasmid.

5           In general, one first obtains a single-stranded vector, or melts two strands of a double-stranded vector, which includes within its sequence a DNA sequence encoding the desired protein or genetic element. An oligonucleotide primer bearing the desired mutated sequence, synthetically prepared, is then annealed with the single-stranded DNA preparation, taking into account the degree of mismatch when selecting hybridization conditions. The hybridized  
10 product is subjected to DNA polymerizing enzymes such as *E. coli* polymerase I (Klenow fragment) in order to complete the synthesis of the mutation-bearing strand. Thus, a heteroduplex is formed, wherein one strand encodes the original non-mutated sequence, and the second strand bears the desired mutation. This heteroduplex vector is then used to transform appropriate host cells, such as *E. coli* cells, and clones are selected that include recombinant  
15 vectors bearing the mutated sequence arrangement.

          Comprehensive information on the functional significance and information content of a given residue of protein can best be obtained by saturation mutagenesis in which all 19 amino acid substitutions are examined. The shortcoming of this approach is that the logistics of  
20 multiresidue saturation mutagenesis are daunting (Warren *et al.*, 1996, Zeng *et al.*, 1996; Yelton *et al.*, 1995; Hilton *et al.*, 1996). Hundreds, and possibly even thousands, of site specific mutants must be studied. However, improved techniques make production and rapid screening of mutants much more straightforward. See also, U.S. Patents 5,798,208 and 5,830,650, for a description of "walk-through" mutagenesis.

25           Other methods of site-directed mutagenesis are disclosed in U.S. Patents 5,220,007; 5,284,760; 5,354,670; 5,366,878; 5,389,514; 5,635,377; and 5,789,166.

## B. Protein Expression

### 1. Vectors

Once the soluble protein, polypeptide or peptide encoding sequence(s) and alpha complementing protein, polypeptide or peptide encoding sequence(s) are selected, they may be  
5 operatively expressed in a recombinant vector. The expression may be *in vivo* or *in vitro*, to assay the refolding and complementation process. The term "vector" is used to refer to a carrier nucleic acid molecule into which a nucleic acid sequence can be inserted for introduction into a cell where it can be replicated. A nucleic acid sequence can be "exogenous," which means that it is foreign to the cell into which the vector is being introduced or that the sequence is homologous  
10 to a sequence in the cell but in a position within the host cell nucleic acid in which the sequence is ordinarily not found. Vectors include plasmids, cosmids, viruses (bacteriophage, animal viruses, and plant viruses), and artificial chromosomes (*e.g.*, YACs). One of skill in the art would be well equipped to construct a vector through standard recombinant techniques, which are described in Sambrook *et al.*, 1989 and Ausubel *et al.*, 1994, both incorporated herein by  
15 reference.

The term "expression vector" refers to a vector containing a nucleic acid sequence coding for at least part of a gene product capable of being transcribed. In some cases, RNA molecules are then translated into a protein, polypeptide, or peptide. In other cases, these sequences are not  
20 translated, for example, in the production of antisense molecules or ribozymes. Expression vectors can contain a variety of "control sequences," which refer to nucleic acid sequences necessary for the transcription and possibly translation of an operably linked coding sequence in a particular host organism. In addition to control sequences that govern transcription and translation, vectors and expression vectors may contain nucleic acid sequences that serve other  
25 functions as well and are described *infra*.

#### a. Promoters and Enhancers

A "promoter" is a control sequence that is a region of a nucleic acid sequence at which initiation and rate of transcription are controlled. It may contain genetic elements at which  
30 regulatory proteins and molecules may bind such as RNA polymerase and other transcription factors. The phrases "operatively positioned," "operatively linked," "under control," and "under

transcriptional control” mean that a promoter is in a correct functional location and/or orientation in relation to a nucleic acid sequence to control transcriptional initiation and/or expression of that sequence. A promoter may or may not be used in conjunction with an “enhancer,” which refers to a cis-acting regulatory sequence involved in the transcriptional activation of a nucleic acid sequence.

A promoter may be one naturally associated with a gene or sequence, as may be obtained by isolating the 5' non-coding sequences located upstream of the coding segment and/or exon. Such a promoter can be referred to as “endogenous.” Similarly, an enhancer may be one naturally associated with a nucleic acid sequence, located either downstream or upstream of that sequence. Alternatively, certain advantages will be gained by positioning the coding nucleic acid segment under the control of a recombinant or heterologous promoter, which refers to a promoter that is not normally associated with a nucleic acid sequence in its natural environment. A recombinant or heterologous enhancer refers also to an enhancer not normally associated with a nucleic acid sequence in its natural environment. Such promoters or enhancers may include promoters or enhancers of other genes, and promoters or enhancers isolated from any other prokaryotic, viral, or eukaryotic cell, and promoters or enhancers not “naturally occurring,” *i.e.*, containing different elements of different transcriptional regulatory regions, and/or mutations that alter expression. In addition to producing nucleic acid sequences of promoters and enhancers synthetically, sequences may be produced using recombinant cloning and/or nucleic acid amplification technology, including PCR™, in connection with the compositions disclosed herein (see U.S. Patent 4,683,202, U.S. Patent 5,928,906, each incorporated herein by reference). Furthermore, it is contemplated the control sequences that direct transcription and/or expression of sequences within non-nuclear organelles such as mitochondria, chloroplasts, and the like, can be employed as well.

Naturally, it will be important to employ a promoter and/or enhancer that effectively directs the expression of the DNA segment in the cell type, organelle, and organism chosen for expression. Those of skill in the art of molecular biology generally know the use of promoters, enhancers, and cell type combinations for protein expression, for example, see Sambrook *et al.* (1989), incorporated herein by reference. The promoters employed may be constitutive, tissue-

specific, inducible, and/or useful under the appropriate conditions to direct high level expression of the introduced DNA segment, such as is advantageous in the large-scale production of recombinant proteins and/or peptides. The promoter may be heterologous or endogenous.

5           Tables 1 lists several elements/promoters that may be employed, in the context of the present invention, to regulate the expression of a gene. This list is not intended to be exhaustive of all the possible elements involved in the promotion of expression but, merely, to be exemplary thereof. Table 2 provides examples of inducible elements, which are regions of a nucleic acid sequence that can be activated in response to a specific stimulus.

10

TABLE 1	
Promoter and/or Enhancer	
Promoter/Enhancer	References
Immunoglobulin Heavy Chain	Banerji <i>et al.</i> , 1983; Gilles <i>et al.</i> , 1983; Grosschedl <i>et al.</i> , 1985; Atchinson <i>et al.</i> , 1986, 1987; Imler <i>et al.</i> , 1987; Weinberger <i>et al.</i> , 1984; Kiledjian <i>et al.</i> , 1988; Porton <i>et al.</i> ; 1990
Immunoglobulin Light Chain	Queen <i>et al.</i> , 1983; Picard <i>et al.</i> , 1984
T-Cell Receptor	Luria <i>et al.</i> , 1987; Winoto <i>et al.</i> , 1989; Redondo <i>et al.</i> ; 1990
HLA DQ a and/or DQ $\beta$	Sullivan <i>et al.</i> , 1987
$\beta$ -Interferon	Goodbourn <i>et al.</i> , 1986; Fujita <i>et al.</i> , 1987; Goodbourn <i>et al.</i> , 1988
Interleukin-2	Greene <i>et al.</i> , 1989
Interleukin-2 Receptor	Greene <i>et al.</i> , 1989; Lin <i>et al.</i> , 1990
MHC Class II 5	Koch <i>et al.</i> , 1989
MHC Class II HLA-Dra	Sherman <i>et al.</i> , 1989
$\beta$ -Actin	Kawamoto <i>et al.</i> , 1988; Ng <i>et al.</i> ; 1989
Muscle Creatine Kinase (MCK)	Jaynes <i>et al.</i> , 1988; Horlick <i>et al.</i> , 1989; Johnson <i>et al.</i> , 1989
Prealbumin (Transthyretin)	Costa <i>et al.</i> , 1988
Elastase I	Ornitz <i>et al.</i> , 1987
Metallothionein (MTII)	Karin <i>et al.</i> , 1987; Culotta <i>et al.</i> , 1989

TABLE 1	
Promoter and/or Enhancer	
Promoter/Enhancer	References
Collagenase	Pinkert <i>et al.</i> , 1987; Angel <i>et al.</i> , 1987
Albumin	Pinkert <i>et al.</i> , 1987; Tronche <i>et al.</i> , 1989, 1990
$\alpha$ -Fetoprotein	Godbout <i>et al.</i> , 1988; Campere <i>et al.</i> , 1989
t-Globin	Bodine <i>et al.</i> , 1987; Perez-Stable <i>et al.</i> , 1990
$\beta$ -Globin	Trudel <i>et al.</i> , 1987
c-fos	Cohen <i>et al.</i> , 1987
c-HA-ras	Triesman, 1986; Deschamps <i>et al.</i> , 1985
Insulin	Edlund <i>et al.</i> , 1985
Neural Cell Adhesion Molecule (NCAM)	Hirsh <i>et al.</i> , 1990
$\alpha_1$ -Antitrypsin	Latimer <i>et al.</i> , 1990
H2B (TH2B) Histone	Hwang <i>et al.</i> , 1990
Mouse and/or Type I Collagen	Ripe <i>et al.</i> , 1989
Glucose-Regulated Proteins (GRP94 and GRP78)	Chang <i>et al.</i> , 1989
Rat Growth Hormone	Larsen <i>et al.</i> , 1986
Human Serum Amyloid A (SAA)	Edbrooke <i>et al.</i> , 1989
Troponin I (TN I)	Yutzey <i>et al.</i> , 1989
Platelet-Derived Growth Factor (PDGF)	Pech <i>et al.</i> , 1989
Duchenne Muscular Dystrophy	Klamut <i>et al.</i> , 1990
SV40	Banerji <i>et al.</i> , 1981; Moreau <i>et al.</i> , 1981; Sleight <i>et al.</i> , 1985; Firak <i>et al.</i> , 1986; Herr <i>et al.</i> , 1986; Imbra <i>et al.</i> , 1986; Kadesch <i>et al.</i> , 1986; Wang <i>et al.</i> , 1986; Ondek <i>et al.</i> , 1987; Kuhl <i>et al.</i> , 1987; Schaffner <i>et al.</i> , 1988
Polyoma	Swartzendruber <i>et al.</i> , 1975; Vasseur <i>et al.</i> , 1980; Katinka <i>et al.</i> , 1980, 1981; Tyndell <i>et al.</i> , 1981; Dandolo <i>et al.</i> , 1983; de Villiers <i>et al.</i> , 1984; Hen <i>et al.</i> , 1986; Satake <i>et al.</i> , 1988; Campbell and/or Villarreal, 1988

TABLE 1	
Promoter and/or Enhancer	
Promoter/Enhancer	References
Retroviruses	Kriegler <i>et al.</i> , 1982, 1983; Levinson <i>et al.</i> , 1982; Kriegler <i>et al.</i> , 1983, 1984a, b, 1988; Bosze <i>et al.</i> , 1986; Miksicek <i>et al.</i> , 1986; Celander <i>et al.</i> , 1987; Thiesen <i>et al.</i> , 1988; Celander <i>et al.</i> , 1988; Chol <i>et al.</i> , 1988; Reisman <i>et al.</i> , 1989
Papilloma Virus	Campo <i>et al.</i> , 1983; Lusky <i>et al.</i> , 1983; Spandidos and/or Wilkie, 1983; Spalholz <i>et al.</i> , 1985; Lusky <i>et al.</i> , 1986; Cripe <i>et al.</i> , 1987; Gloss <i>et al.</i> , 1987; Hirochika <i>et al.</i> , 1987; Stephens <i>et al.</i> , 1987; Glue <i>et al.</i> , 1988
Hepatitis B Virus	Bulla <i>et al.</i> , 1986; Jameel <i>et al.</i> , 1986; Shaul <i>et al.</i> , 1987; Spandau <i>et al.</i> , 1988; Vannice <i>et al.</i> , 1988
Human Immunodeficiency Virus	Muesing <i>et al.</i> , 1987; Hauber <i>et al.</i> , 1988; Jakobovits <i>et al.</i> , 1988; Feng <i>et al.</i> , 1988; Takebe <i>et al.</i> , 1988; Rosen <i>et al.</i> , 1988; Berkhout <i>et al.</i> , 1989; Laspia <i>et al.</i> , 1989; Sharp <i>et al.</i> , 1989; Braddock <i>et al.</i> , 1989
Cytomegalovirus (CMV)	Weber <i>et al.</i> , 1984; Boshart <i>et al.</i> , 1985; Foecking <i>et al.</i> , 1986
Gibbon Ape Leukemia Virus	Holbrook <i>et al.</i> , 1987; Quinn <i>et al.</i> , 1989

TABLE 2		
Inducible Elements		
Element	Inducer	References
MT II	Phorbol Ester (TFA) Heavy metals	Palmiter <i>et al.</i> , 1982; Haslinger <i>et al.</i> , 1985; Searle <i>et al.</i> , 1985; Stuart <i>et al.</i> , 1985; Imagawa <i>et al.</i> , 1987, Karin <i>et al.</i> , 1987; Angel <i>et al.</i> , 1987b; McNeall <i>et al.</i> , 1989
MMTV (mouse mammary tumor virus)	Glucocorticoids	Huang <i>et al.</i> , 1981; Lee <i>et al.</i> , 1981; Majors <i>et al.</i> , 1983; Chandler <i>et al.</i> , 1983; Lee <i>et al.</i> , 1984; Ponta <i>et al.</i> , 1985; Sakai <i>et al.</i> , 1988
$\beta$ -Interferon	Poly(rI)x Poly(rc)	Tavernier <i>et al.</i> , 1983

TABLE 2		
Inducible Elements		
Element	Inducer	References
Adenovirus 5 <u>E2</u>	ElA	Imperiale <i>et al.</i> , 1984
Collagenase	Phorbol Ester (TPA)	Angel <i>et al.</i> , 1987a
Stromelysin	Phorbol Ester (TPA)	Angel <i>et al.</i> , 1987b
SV40	Phorbol Ester (TPA)	Angel <i>et al.</i> , 1987b
Murine MX Gene	Interferon, Newcastle Disease Virus	Hug <i>et al.</i> , 1988
GRP78 Gene	A23187	Resendez <i>et al.</i> , 1988
$\alpha$ -2-Macroglobulin	IL-6	Kunz <i>et al.</i> , 1989
Vimentin	Serum	Rittling <i>et al.</i> , 1989
MHC Class I Gene H-2kb	Interferon	Blonar <i>et al.</i> , 1989
HSP70	ElA, SV40 Large T Antigen	Taylor <i>et al.</i> , 1989, 1990a, 1990b
Proliferin	Phorbol Ester-TPA	Mordacq <i>et al.</i> , 1989
Tumor Necrosis Factor	PMA	Hensel <i>et al.</i> , 1989
Thyroid Stimulating Hormone $\alpha$ Gene	Thyroid Hormone	Chatterjee <i>et al.</i> , 1989

The identity of tissue-specific promoters or elements, as well as assays to characterize their activity, is well known to those of skill in the art. Examples of such regions include the human LIMK2 gene (Nomoto *et al.* 1999), the somatostatin receptor 2 gene (Kraus *et al.*, 1998), murine epididymal retinoic acid-binding gene (Lareyre *et al.*, 1999), human CD4 (Zhao-Emonet *et al.*, 1998), mouse alpha2 (XI) collagen (Tsumaki, *et al.*, 1998), D1A dopamine receptor gene (Lee, *et al.*, 1997), insulin-like growth factor II (Wu *et al.*, 1997), human platelet endothelial cell adhesion molecule-1 (Almendo *et al.*, 1996).

#### b. Initiation Signals and Internal Ribosome Binding Sites

A specific initiation signal also may be required for efficient translation of coding sequences. These signals include the ATG initiation codon or adjacent sequences. Exogenous translational control signals, including the ATG initiation codon, may need to be provided. One

of ordinary skill in the art would readily be capable of determining this and providing the necessary signals. It is well known that the initiation codon must be "in-frame" with the reading frame of the desired coding sequence to ensure translation of the entire insert. The exogenous translational control signals and initiation codons can be either natural or synthetic. The efficiency of expression may be enhanced by the inclusion of appropriate transcription enhancer elements.

In certain embodiments of the invention, the use of internal ribosome entry sites (IRES) elements are used to create multigene, or polycistronic, messages. IRES elements are able to bypass the ribosome scanning model of 5' methylated Cap dependent translation and begin translation at internal sites (Pelletier and Sonenberg, 1988). IRES elements from two members of the picornavirus family (polio and encephalomyocarditis) have been described (Pelletier and Sonenberg, 1988), as well as an IRES from a mammalian message (Macejak and Sarnow, 1991). IRES elements can be linked to heterologous open reading frames. Multiple open reading frames can be transcribed together, each separated by an IRES, creating polycistronic messages. By virtue of the IRES element, each open reading frame is accessible to ribosomes for efficient translation. Multiple genes can be efficiently expressed using a single promoter/enhancer to transcribe a single message (see U.S. Patent 5,925,565 and 5,935,819, herein incorporated by reference).

#### **c. Multiple Cloning Sites**

Vectors can include a multiple cloning site (MCS), which is a nucleic acid region that contains multiple restriction enzyme sites, any of which can be used in conjunction with standard recombinant technology to digest the vector. (See Carbonelli *et al.*, 1999, Levenson *et al.*, 1998, and Cocea, 1997, incorporated herein by reference.) "Restriction enzyme digestion" refers to catalytic cleavage of a nucleic acid molecule with an enzyme that functions only at specific locations in a nucleic acid molecule. Many of these restriction enzymes are commercially available. Use of such enzymes is widely understood by those of skill in the art. Frequently, a vector is linearized or fragmented using a restriction enzyme that cuts within the MCS to enable exogenous sequences to be ligated to the vector. "Ligation" refers to the process of forming phosphodiester bonds between two nucleic acid fragments, which may or may not be contiguous

with each other. Techniques involving restriction enzymes and ligation reactions are well known to those of skill in the art of recombinant technology.

**d. Splicing Sites**

5 Most transcribed eukaryotic RNA molecules will undergo RNA splicing to remove introns from the primary transcripts. Vectors containing genomic eukaryotic sequences may require donor and/or acceptor splicing sites to ensure proper processing of the transcript for protein expression. (See Chandler *et al.*, 1997, herein incorporated by reference.)

10 **e. Polyadenylation Signals**

In expression, one will typically include a polyadenylation signal to effect proper polyadenylation of the transcript. The nature of the polyadenylation signal is not believed to be crucial to the successful practice of the invention, and/or any such sequence may be employed. Preferred embodiments include the SV40 polyadenylation signal and/or the bovine growth  
15 hormone polyadenylation signal, convenient and/or known to function well in various target cells. Also contemplated as an element of the expression cassette is a transcriptional termination site. These elements can serve to enhance message levels and/or to minimize read through from the cassette into other sequences.

20 **f. Origins of Replication**

In order to propagate a vector in a host cell, it may contain one or more origins of replication sites (often termed "ori"), which is a specific nucleic acid sequence at which replication is initiated. Alternatively an autonomously replicating sequence (ARS) can be employed if the host cell is yeast.

25 **g. Selectable and Screenable Markers**

In certain embodiments of the invention, the cells contain nucleic acid construct of the present invention, a cell may be identified *in vitro* or *in vivo* by including a marker in the expression vector. Such markers would confer an identifiable change to the cell permitting easy  
30 identification of cells containing the expression vector. Generally, a selectable marker is one that confers a property that allows for selection. A positive selectable marker is one in which the

presence of the marker allows for its selection, while a negative selectable marker is one in which its presence prevents its selection. An example of a positive selectable marker is a drug resistance marker.

5           Usually the inclusion of a drug selection marker aids in the cloning and identification of transformants, for example, genes that confer resistance to neomycin, puromycin, hygromycin, DHFR, GPT, zeocin and histidinol are useful selectable markers. In addition to markers conferring a phenotype that allows for the discrimination of transformants based on the implementation of conditions, other types of markers including screenable markers such as GFP,  
10   whose basis is colorimetric analysis, are also contemplated. Alternatively, screenable enzymes such as herpes simplex virus thymidine kinase (*tk*) or chloramphenicol acetyltransferase (CAT) may be utilized. One of skill in the art would also know how to employ immunologic markers, possibly in conjunction with FACS analysis. The marker used is not believed to be important, so long as it is capable of being expressed simultaneously with the nucleic acid encoding a gene  
15   product. Further examples of selectable and screenable markers are well known to one of skill in the art.

## 2.     Host Cells

As used herein, the terms "cell," "cell line," and "cell culture" may be used  
20   interchangeably. All of these term also include their progeny, which is any and all subsequent generations. It is understood that all progeny may not be identical due to deliberate or inadvertent mutations. In the context of expressing a heterologous nucleic acid sequence, "host cell" refers to a prokaryotic or eukaryotic cell, and it includes any transformable organisms that is capable of replicating a vector and/or expressing a heterologous gene encoded by a vector. A  
25   host cell can, and has been, used as a recipient for vectors. A host cell may be "transfected" or "transformed," which refers to a process by which exogenous nucleic acid is transferred or introduced into the host cell. A transformed cell includes the primary subject cell and its progeny.

30           Host cells may be derived from prokaryotes or eukaryotes, depending upon whether the desired result is replication of the vector or expression of part or all of the vector-encoded

nucleic acid sequences. Prokaryotes include gram negative or positive cells. Numerous cell lines and cultures are available for use as a host cell, and they can be obtained through the American Type Culture Collection (ATCC), which is an organization that serves as an archive for living cultures and genetic materials (www.atcc.org). An appropriate host can be determined by one of skill in the art based on the vector backbone and the desired result. A plasmid or cosmid, for example, can be introduced into a prokaryote host cell for replication of many vectors. Bacterial cells used as host cells for vector replication and/or expression include DH5 $\alpha$ , JM109, and KC8, as well as a number of commercially available bacterial hosts such as SURE<sup>®</sup> Competent Cells and SOLOPACK<sup>™</sup> Gold Cells (STRATAGENE<sup>®</sup>, La Jolla). Alternatively, bacterial cells such as *E. coli* LE392 could be used as host cells for phage viruses.

Examples of eukaryotic host cells for replication and/or expression of a vector include *C. elegans*, HeLa, NIH3T3, Jurkat, 293, Cos, CHO, Saos, yeast, nematodes, insect cells, and PC12. Many host cells from various cell types and organisms are available and would be known to one of skill in the art. Similarly, a viral vector may be used in conjunction with either a eukaryotic or prokaryotic host cell, particularly one that is permissive for replication or expression of the vector.

Some vectors may employ control sequences that allow it to be replicated and/or expressed in both prokaryotic and eukaryotic cells. One of skill in the art would further understand the conditions under which to incubate all of the above described host cells to maintain them and to permit replication of a vector. Also understood and known are techniques and conditions that would allow large-scale production of vectors, as well as production of the nucleic acids encoded by vectors and their cognate polypeptides, proteins, or peptides.

### 3. Expression Systems

Turning to the expression of the proteins of the present invention, once a suitable nucleic acid encoding sequence has been obtained, one may proceed to prepare an expression system. The engineering of DNA segment(s) for expression in a prokaryotic or eukaryotic system may be performed by techniques generally known to those of skill in recombinant expression.

It is believed that virtually any expression system may be employed in the expression of the proteins of the present invention. Prokaryote- and/or eukaryote-based systems can be employed for use with the present invention to produce nucleic acid sequences, or their cognate polypeptides, proteins and peptides. Many such systems are commercially and widely available.

5

Both cDNA and genomic sequences are suitable for eukaryotic expression, as the host cell will generally process the genomic transcripts to yield functional mRNA for translation into protein. Generally speaking, it may be more convenient to employ as the recombinant gene a cDNA version of the gene. It is believed that the use of a cDNA version will provide advantages in that the size of the gene will generally be much smaller and more readily employed to transfect the targeted cell than will a genomic gene, which will typically be up to an order of magnitude or more larger than the cDNA gene. However, it is contemplated that a genomic version of a particular gene may be employed where desired.

10

It is contemplated that proteins, polypeptides or peptides may be co-expressed with other selected proteins, polypeptides or peptides, wherein the proteins may be co-expressed in the same cell or gene(s) may be provided to a cell that already has another selected protein. Co-expression may be achieved by co-transfecting the cell with two distinct recombinant vectors, each bearing a copy of either of the respective DNA. Alternatively, a single recombinant vector may be constructed to include the coding regions for both of the proteins, which could then be expressed in cells transfected with the single vector. In either event, the term "co-expression" herein refers to the expression of both at least one selected nucleic acid or gene encoding one or more proteins, polypeptides or peptides and at least a second selected nucleic acid or gene encoding at least one or more secondary selected proteins, polypeptides or peptides in the same recombinant cell.

15

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It is contemplated that proteins may be expressed in cell systems or grown in media that enhance protein production. One such system is described in U.S. Patent 5,834,249, incorporated herein by reference. In certain embodiments, the fusion protein may be co-expressed with one or more proteins that enhance refolding. Such proteins that enhance refolding include, for example, DsbA or DsbC proteins. A cell system co-expressing the DsbA

30

or DsbC proteins are described in U.S. Patent 5,639,635, incorporated herein by reference. In certain embodiments, it is contemplated that a temperature sensitive expression vector may be used to aid assaying protein folding at lower or higher temperatures than many *E. coli* cell strain's optimum growth at about 37°C. For example, a temperature sensitive expression vectors and host cells that express proteins at or below 20°C is described in U.S. Patents 5,654,169 and 5,726,039, each incorporated herein by reference.

As used herein, the terms "engineered" and "recombinant" cells or host cells are intended to refer to a cell into which an exogenous DNA segment or gene, such as a cDNA or gene encoding at least one protein, polypeptide or peptide has been introduced. Therefore, engineered cells are distinguishable from naturally occurring cells which do not contain a recombinantly introduced exogenous DNA segment or gene. Engineered cells are thus cells having a gene or genes introduced through the hand of man. Recombinant cells include those having an introduced cDNA or genomic gene; and also include genes positioned adjacent to a promoter not naturally associated with the particular introduced gene.

Certain examples of prokaryotic hosts are *E. coli* strain RR1, *E. coli* LE392, *E. coli* B, *E. coli* X 1776 (ATCC No. 31537) as well as *E. coli* W3110 (F-, lambda-, prototrophic, ATCC No. 273325); bacilli such as *Bacillus subtilis*; and other enterobacteriaceae such as *Salmonella typhimurium*, *Serratia marcescens*, and various *Pseudomonas* species.

In general, plasmid vectors containing replicon and control sequences which are derived from species compatible with the host cell are used in connection with these hosts. The vector ordinarily carries a replication site, as well as marking sequences which are capable of providing phenotypic selection in transformed cells. For example, *E. coli* is often transformed using derivatives of pBR322, a plasmid derived from an *E. coli* species. pBR322 contains genes for ampicillin and tetracycline resistance and thus provides easy means for identifying transformed cells. The pBR plasmid, or other microbial plasmid or phage must also contain, or be modified to contain, promoters which can be used by the microbial organism for expression of its own proteins.

In addition, phage vectors containing replicon and control sequences that are compatible with the host microorganism can be used as transforming vectors in connection with these hosts. For example, the phage lambda GEM<sup>TM</sup>-11 may be utilized in making a recombinant phage vector which can be used to transform host cells, such as *E. coli* LE392.

5

Further useful vectors include pIN vectors (Inouye *et al.*, 1985); and pGEX vectors, for use in generating glutathione S-transferase (GST) soluble fusion proteins for later purification and separation or cleavage. Other suitable fusion proteins are those with  $\beta$ -galactosidase, ubiquitin, and the like.

10

Promoters that are most commonly used in recombinant DNA construction include the  $\beta$ -lactamase (penicillinase), lactose and tryptophan (*trp*) promoter systems. While these are the most commonly used, other microbial promoters have been discovered and utilized, and details concerning their nucleotide sequences have been published, enabling those of skill in the art to

15 ligate them functionally with plasmid vectors.

#### a. Prokaryotic Expression

The following details concerning recombinant protein production in bacterial cells, such as *E. coli*, are provided by way of exemplary information on recombinant protein production in

20 general, the adaptation of which to a particular recombinant expression system will be known to those of skill in the art.

Bacterial cells, for example, *E. coli*, containing the expression vector are grown in any of a number of suitable media, for example, LB. The expression of the recombinant protein may be

25 induced, *e.g.*, by adding IPTG to the media or by switching incubation to a higher temperature. After culturing the bacteria for a further period, generally of between 2 and 24 hours, the cells are collected by centrifugation and washed to remove residual media.

The bacterial cells are then lysed, for example, by disruption in a cell homogenizer and

30 centrifuged to separate the dense inclusion bodies and cell membranes from the soluble cell components. This centrifugation can be performed under conditions whereby the dense

inclusion bodies are selectively enriched by incorporation of sugars, such as sucrose, into the buffer and centrifugation at a selective speed.

If the recombinant protein is expressed in the inclusion bodies, as is the case in many instances, these can be washed in any of several solutions to remove some of the contaminating host proteins, then solubilized in solutions containing high concentrations of urea (*e.g.* 8M) or chaotropic agents such as guanidine hydrochloride in the presence of reducing agents, such as  $\beta$ -mercaptoethanol or DTT (dithiothreitol).

Under some circumstances, it may be advantageous to incubate the protein for several hours under conditions suitable for the protein to undergo a refolding process into a conformation which more closely resembles that of the native protein. Such conditions generally include low protein concentrations, less than 500 mg/ml, low levels of reducing agent, concentrations of urea less than 2 M and often the presence of reagents such as a mixture of reduced and oxidized glutathione which facilitate the interchange of disulfide bonds within the protein molecule.

The refolding process can be monitored, for example, by SDS-PAGE, or with antibodies specific for the native molecule (which can be obtained from animals vaccinated with the native molecule or smaller quantities of recombinant protein). Following refolding, the protein can then be purified further and separated from the refolding mixture by chromatography on any of several supports including ion exchange resins, gel permeation resins or on a variety of affinity columns.

## b. Eukaryotic Expression

In addition to micro-organisms, cultures of cells derived from multicellular organisms may also be used as hosts. In principle, any such cell culture is workable, whether from vertebrate or invertebrate culture. In addition to mammalian cells, these include insect cell systems infected with recombinant virus expression vectors (e.g., baculovirus); and plant cell systems infected with recombinant virus expression vectors (e.g., cauliflower mosaic virus, CaMV; tobacco mosaic virus, TMV) or transformed with recombinant plasmid expression vectors (e.g., Ti plasmid) containing one or more protein, polypeptide or peptide coding sequences.

For expression in *Saccharomyces*, the plasmid YRp7, for example, is commonly used. This plasmid already contains the *trp1* gene which provides a selection marker for a mutant strain of yeast lacking the ability to grow in tryptophan, for example ATCC No. 44076 or PEP4-1. The presence of the *trp1* lesion as a characteristic of the yeast host cell genome then provides an effective environment for detecting transformation by growth in the absence of tryptophan.

Suitable promoting sequences in yeast vectors include the promoters for 3-phosphoglycerate kinase or other glycolytic enzymes, such as enolase, glyceraldehyde-3-phosphate dehydrogenase, hexokinase, pyruvate decarboxylase, phosphofructokinase, glucose-6-phosphate isomerase, 3-phosphoglycerate mutase, pyruvate kinase, triosephosphate isomerase, phosphoglucose isomerase, and glucokinase. In constructing suitable expression plasmids, the termination sequences associated with these genes are also ligated into the expression vector 3' of the sequence desired to be expressed to provide polyadenylation of the mRNA and termination.

Other suitable promoters, which have the additional advantage of transcription controlled by growth conditions, include the promoter region for alcohol dehydrogenase 2, isocytochrome C, acid phosphatase, degradative enzymes associated with nitrogen metabolism, and the aforementioned glyceraldehyde-3-phosphate dehydrogenase, and enzymes responsible for maltose and galactose utilization.

The insect cell/baculovirus system can produce a high level of protein expression of a heterologous nucleic acid segment, such as described in U.S. Patent No. 5,871,986, 4,879,236, both herein incorporated by reference, and which can be bought, for example, under the name MAXBAC<sup>®</sup> 2.0 from INVITROGEN<sup>®</sup> and BACPACK<sup>™</sup> BACULOVIRUS EXPRESSION SYSTEM FROM CLONTECH<sup>®</sup>.

In a useful insect system, *Autograph californica* nuclear polyhedrosis virus (AcNPV) is used as a vector to express foreign genes. The virus grows in *Spodoptera frugiperda* cells. The protein, polypeptide or peptide coding sequences are cloned into non-essential regions (for example the polyhedrin gene) of the virus and placed under control of an AcNPV promoter (for example the polyhedrin promoter). Successful insertion of the coding sequences results in the inactivation of the polyhedrin gene and production of non-occluded recombinant virus (*i.e.*, virus lacking the proteinaceous coat coded for by the polyhedrin gene). These recombinant viruses are then used to infect *Spodoptera frugiperda* cells in which the inserted gene is expressed (*e.g.*, U.S. Patent No. 4,215,051, Smith, incorporated herein by reference).

Other examples of expression systems include STRATAGENE<sup>®</sup>'s COMPLETE CONTROL<sup>™</sup> Inducible Mammalian Expression System, which involves a synthetic ecdysone-inducible receptor, or its pET Expression System, an *E. coli* expression system. Another example of an inducible expression system is available from INVITROGEN<sup>®</sup>, which carries the T-REX<sup>™</sup> (tetracycline-regulated expression) System, an inducible mammalian expression system that uses the full-length CMV promoter. INVITROGEN<sup>®</sup> also provides a yeast expression system called the *Pichia methanolica* Expression System, which is designed for high-level production of recombinant proteins in the methylotrophic yeast *Pichia methanolica*. One of skill in the art would know how to express a vector, such as an expression construct, to produce a nucleic acid sequence or its cognate polypeptide, protein, or peptide.

Examples of useful mammalian host cell lines are VERO and HeLa cells, Chinese hamster ovary (CHO) cell lines, W138, BHK, COS-7, 293, HepG2, 3T3, RIN and MDCK cell lines. In addition, a host cell strain may be chosen that modulates the expression of the inserted sequences, or modifies and processes the gene product in the specific fashion desired. Such

modifications (*e.g.*, glycosylation) and processing (*e.g.*, cleavage) of protein products may be important for the function of the protein.

Different host cells have characteristic and specific mechanisms for the post-translational processing and modification of proteins. Appropriate cells lines or host systems can be chosen to ensure the correct modification and processing of the foreign protein expressed.

Expression vectors for use in mammalian cells ordinarily include an origin of replication (as necessary), a promoter located in front of the gene to be expressed, along with any necessary ribosome binding sites, RNA splice sites, polyadenylation site, and transcriptional terminator sequences. The origin of replication may be provided either by construction of the vector to include an exogenous origin, such as may be derived from SV40 or other viral (*e.g.*, Polyoma, Adeno, VSV, BPV) source, or may be provided by the host cell chromosomal replication mechanism. If the vector is integrated into the host cell chromosome, the latter is often sufficient.

The promoters may be derived from the genome of mammalian cells (*e.g.*, metallothionein promoter) or from mammalian viruses (*e.g.*, the adenovirus late promoter; the vaccinia virus 7.5K promoter). Further, it is also possible, and may be desirable, to utilize promoter or control sequences normally associated with the gene sequence(s), provided such control sequences are compatible with the host cell systems.

A number of viral based expression systems may be utilized, for example, commonly used promoters are derived from polyoma, Adenovirus 2, and most frequently Simian Virus 40 (SV40). The early and late promoters of SV40 virus are particularly useful because both are obtained easily from the virus as a fragment which also contains the SV40 viral origin of replication. Smaller or larger SV40 fragments may also be used, provided there is included the approximately 250 bp sequence extending from the *HindIII* site toward the *BglII* site located in the viral origin of replication.

In cases where an adenovirus is used as an expression vector, the coding sequences may be ligated to an adenovirus transcription/ translation control complex, *e.g.*, the late promoter and tripartite leader sequence. This chimeric gene may then be inserted in the adenovirus genome by *in vitro* or *in vivo* recombination. Insertion in a non-essential region of the viral genome (*e.g.*, region E1, E3, or E4) will result in a recombinant virus that is viable and capable of expressing proteins, polypeptides or peptides in infected hosts.

Specific initiation signals may also be required for efficient translation of protein, polypeptide or peptide coding sequences. These signals include the ATG initiation codon and adjacent sequences. Exogenous translational control signals, including the ATG initiation codon, may additionally need to be provided. One of ordinary skill in the art would readily be capable of determining this and providing the necessary signals. It is well known that the initiation codon must be in-frame (or in-phase) with the reading frame of the desired coding sequence to ensure translation of the entire insert. These exogenous translational control signals and initiation codons can be of a variety of origins, both natural and synthetic. The efficiency of expression may be enhanced by the inclusion of appropriate transcription enhancer elements and transcription terminators.

In eukaryotic expression, one will also typically desire to incorporate into the transcriptional unit an appropriate polyadenylation site (*e.g.*, 5'-AATAAA-3') if one was not contained within the original cloned segment. Typically, the poly A addition site is placed about 30 to 2000 nucleotides "downstream" of the termination site of the protein at a position prior to transcription termination.

### C. Gene Delivery

The general approach to the aspects of the present invention is to provide a cell with nucleic acid encoding a fusion protein, polypeptide or peptide and/or a nucleic acid encoding a protein, polypeptide or peptide whose activity may be altered by complementation with the fusion protein, thereby permitting a detectable change in the activity of the proteins to take effect. While it is conceivable that the protein(s) may be delivered directly, a preferred embodiment involves providing a nucleic acid encoding the protein(s), polypeptide(s) or peptide(s) to the cell. Following this provision, the polypeptide(s) are synthesized by the transcriptional and translational machinery of the cell, as well as any that may be provided by the expression construct.

In certain embodiments of the invention, the nucleic acid encoding the gene may be stably integrated into the genome of the cell. In yet further embodiments, the nucleic acid may be stably maintained in the cell as a separate, episomal segment of DNA. Such nucleic acid segments or "episomes" encode sequences sufficient to permit maintenance and replication independent of or in synchronization with the host cell cycle. How the expression construct is delivered to a cell and where in the cell the nucleic acid remains is dependent on the type of expression construct employed.

#### 1. DNA Delivery Using Viral Vectors

The ability of certain viruses to infect cells or enter cells via receptor-mediated endocytosis, and to integrate into host cell genome and express viral genes stably and efficiently have made them attractive candidates for the transfer of foreign genes into mammalian cells. Preferred vectors of the present invention will generally be viral vectors.

Although some viruses that can accept foreign genetic material are limited in the number of nucleotides they can accommodate and in the range of cells they infect, these viruses have been demonstrated to successfully effect gene expression. However, adenoviruses do not integrate their genetic material into the host genome and therefore do not require host replication for gene expression, making them ideally suited for rapid, efficient, heterologous gene expression. Techniques for preparing replication-defective infective viruses are well known in the art.

Of course, in using viral delivery systems, one will desire to purify the virion sufficiently to render it essentially free of undesirable contaminants, such as defective interfering viral particles or endotoxins and other pyrogens such that it will not cause any untoward reactions in the cell, animal or individual receiving the vector construct. A preferred means of purifying the vector involves the use of buoyant density gradients, such as cesium chloride gradient centrifugation.

**a. Adenoviral Vectors**

A particular method for delivery of the expression constructs involves the use of an adenovirus expression vector. Although adenovirus vectors are known to have a low capacity for integration into genomic DNA, this feature is counterbalanced by the high efficiency of gene transfer afforded by these vectors. "Adenovirus expression vector" is meant to include those constructs containing adenovirus sequences sufficient to (a) support packaging of the construct and (b) to ultimately express a tissue or cell-specific construct that has been cloned therein.

The expression vector comprises a genetically engineered form of adenovirus. Knowledge of the genetic organization of adenovirus, a 36 kb, linear, double-stranded DNA virus, allows substitution of large pieces of adenoviral DNA with foreign sequences up to 7 kb (Grunhaus and Horwitz, 1992). In contrast to retrovirus, the adenoviral infection of host cells does not result in chromosomal integration because adenoviral DNA can replicate in an episomal manner without potential genotoxicity. Also, adenoviruses are structurally stable, and no genome rearrangement has been detected after extensive amplification.

Adenovirus is particularly suitable for use as a gene transfer vector because of its mid-sized genome, ease of manipulation, high titer, wide target-cell range and high infectivity. Both ends of the viral genome contain 100-200 base pair inverted repeats (ITRs), which are *cis* elements necessary for viral DNA replication and packaging. The early (E) and late (L) regions of the genome contain different transcription units that are divided by the onset of viral DNA replication. The E1 region (E1A and E1B) encodes proteins responsible for the regulation of transcription of the viral genome and a few cellular genes. The expression of the E2 region (E2A

and E2B) results in the synthesis of the proteins for viral DNA replication. These proteins are involved in DNA replication, late gene expression and host cell shut-off (Renan, 1990). The products of the late genes, including the majority of the viral capsid proteins, are expressed only after significant processing of a single primary transcript issued by the major late promoter (MLP). The MLP (located at 16.8 m.u.) is particularly efficient during the late phase of infection, and all the mRNA's issued from this promoter possess a 5'-tripartite leader (TPL) sequence which makes them preferred mRNA's for translation.

In a current system, recombinant adenovirus is generated from homologous recombination between shuttle vector and provirus vector. Due to the possible recombination between two proviral vectors, wild-type adenovirus may be generated from this process. Therefore, it is critical to isolate a single clone of virus from an individual plaque and examine its genomic structure.

Generation and propagation of the current adenovirus vectors, which are replication deficient, depend on a unique helper cell line, designated 293, which was transformed from human embryonic kidney cells by Ad5 DNA fragments and constitutively expresses E1 proteins (E1A and E1B; Graham *et al.*, 1977). Since the E3 region is dispensable from the adenovirus genome (Jones and Shenk, 1978), the current adenovirus vectors, with the help of 293 cells, carry foreign DNA in either the E1, the D3 or both regions (Graham and Prevec, 1991). Recently, adenoviral vectors comprising deletions in the E4 region have been described (U.S. Patent 5,670,488, incorporated herein by reference).

In nature, adenovirus can package approximately 105% of the wild-type genome (Ghosh-Choudhury *et al.*, 1987), providing capacity for about 2 extra kb of DNA. Combined with the approximately 5.5 kb of DNA that is replaceable in the E1 and E3 regions, the maximum capacity of the current adenovirus vector is under 7.5 kb, or about 15% of the total length of the vector. More than 80% of the adenovirus viral genome remains in the vector backbone.

Helper cell lines may be derived from human cells such as human embryonic kidney cells, muscle cells, hematopoietic cells or other human embryonic mesenchymal or epithelial

cells. Alternatively, the helper cells may be derived from the cells of other mammalian species that are permissive for human adenovirus. Such cells include, *e.g.*, Vero cells or other monkey embryonic mesenchymal or epithelial cells. As stated above, the preferred helper cell line is 293.

5           Racher *et al.* (1995) disclosed improved methods for culturing 293 cells and propagating adenovirus. In one format, natural cell aggregates are grown by inoculating individual cells into 1 liter siliconized spinner flasks (Techne, Cambridge, UK) containing 100-200 ml of medium. Following stirring at 40 rpm, the cell viability is estimated with trypan blue. In another format, Fibra-Cel microcarriers (Bibby Sterlin, Stone, UK) (5 g/l) is employed as follows. A cell  
10   inoculum, resuspended in 5 ml of medium, is added to the carrier (50 ml) in a 250 ml Erlenmeyer flask and left stationary, with occasional agitation, for 1 to 4 h. The medium is then replaced with 50 ml of fresh medium and shaking initiated. For virus production, cells are allowed to grow to about 80% confluence, after which time the medium is replaced (to 25% of the final volume) and adenovirus added at an MOI of 0.05. Cultures are left stationary overnight,  
15   following which the volume is increased to 100% and shaking commenced for another 72 h.

Other than the requirement that the adenovirus vector be replication defective, or at least conditionally defective, the nature of the adenovirus vector is not believed to be crucial to the successful practice of the invention. The adenovirus may be of any of the 42 different known  
20   serotypes or subgroups A-F. Adenovirus type 5 of subgroup C is the preferred starting material in order to obtain the conditional replication-defective adenovirus vector for use in the present invention. This is because Adenovirus type 5 is a human adenovirus about which a great deal of biochemical and genetic information is known, and it has historically been used for most constructions employing adenovirus as a vector.

25           As stated above, the typical vector according to the present invention is replication defective and will not have an adenovirus E1 region. Thus, it will be most convenient to introduce the transforming construct at the position from which the E1-coding sequences have been removed. However, the position of insertion of the construct within the adenovirus  
30   sequences is not critical to the invention. The polynucleotide encoding the gene of interest may also be inserted in lieu of the deleted E3 region in E3 replacement vectors as described by

Karlsson *et al.* (1986) or in the E4 region where a helper cell line or helper virus complements the E4 defect.

Adenovirus growth and manipulation is known to those of skill in the art, and exhibits  
5 broad host range *in vitro* and *in vivo*. This group of viruses can be obtained in high titers, *e.g.*,  
 $10^9$  to  $10^{11}$  plaque-forming units per ml, and they are highly infective. The life cycle of  
adenovirus does not require integration into the host cell genome. The foreign genes delivered  
by adenovirus vectors are episomal and, therefore, have low genotoxicity to host cells.

10 Adenovirus vectors have been used in eukaryotic gene expression (Levrero *et al.*, 1991;  
Gomez-Foix *et al.*, 1992) and vaccine development (Grunhaus and Horwitz, 1992; Graham and  
Prevec, 1992). Recombinant adenovirus and adeno-associated virus (see below) can both infect  
and transduce non-dividing human primary cells.

#### 15 b. AAV Vectors

Adeno-associated virus (AAV) is an attractive vector system for use in the cell  
transduction of the present invention as it has a high frequency of integration and it can infect  
nondividing cells, thus making it useful for delivery of genes into mammalian cells, for example,  
in tissue culture (Muzyczka, 1992) or *in vivo*. AAV has a broad host range for infectivity  
20 (Tratschin *et al.*, 1984; Laughlin *et al.*, 1986; Lebkowski *et al.*, 1988; McLaughlin *et al.*, 1988).  
Details concerning the generation and use of rAAV vectors are described in U.S. Patent No.  
5,139,941 and U.S. Patent No. 4,797,368, each incorporated herein by reference.

Studies demonstrating the use of AAV in gene delivery include LaFace *et al.* (1988);  
25 Zhou *et al.* (1993); Flotte *et al.* (1993); and Walsh *et al.* (1994). Recombinant AAV vectors  
have been used successfully for *in vitro* and *in vivo* transduction of marker genes (Kaplitt *et al.*,  
1994; Lebkowski *et al.*, 1988; Samulski *et al.*, 1989; Yoder *et al.*, 1994; Zhou *et al.*, 1994;  
Hermonat and Muzyczka, 1984; Tratschin *et al.*, 1985; McLaughlin *et al.*, 1988) and genes  
involved in human diseases (Flotte *et al.*, 1992; Luo *et al.*, 1994; Ohi *et al.*, 1990; Walsh *et al.*,  
30 1994; Wei *et al.*, 1994). Recently, an AAV vector has been approved for phase I human trials  
for the treatment of cystic fibrosis.

AAV is a dependent parvovirus in that it requires coinfection with another virus (either adenovirus or a member of the herpes virus family) to undergo a productive infection in cultured cells (Muzyczka, 1992). In the absence of coinfection with helper virus, the wild type AAV genome integrates through its ends into human chromosome 19 where it resides in a latent state as a provirus (Kotin *et al.*, 1990; Samulski *et al.*, 1991). rAAV, however, is not restricted to chromosome 19 for integration unless the AAV Rep protein is also expressed (Shelling and Smith, 1994). When a cell carrying an AAV provirus is superinfected with a helper virus, the AAV genome is "rescued" from the chromosome or from a recombinant plasmid, and a normal productive infection is established (Samulski *et al.*, 1989; McLaughlin *et al.*, 1988; Kotin *et al.*, 1990; Muzyczka, 1992).

Typically, recombinant AAV (rAAV) virus is made by cotransfecting a plasmid containing the gene of interest flanked by the two AAV terminal repeats (McLaughlin *et al.*, 1988; Samulski *et al.*, 1989; each incorporated herein by reference) and an expression plasmid containing the wild type AAV coding sequences without the terminal repeats, for example pIM45 (McCarty *et al.*, 1991; incorporated herein by reference). The cells are also infected or transfected with adenovirus or plasmids carrying the adenovirus genes required for AAV helper function. rAAV virus stocks made in such fashion are contaminated with adenovirus which must be physically separated from the rAAV particles (for example, by cesium chloride density centrifugation). Alternatively, adenovirus vectors containing the AAV coding regions or cell lines containing the AAV coding regions and some or all of the adenovirus helper genes could be used (Yang *et al.*, 1994; Clark *et al.*, 1995). Cell lines carrying the rAAV DNA as an integrated provirus can also be used (Flotte *et al.*, 1995).

### c. Retroviral Vectors

Retroviruses have promise as gene delivery vectors due to their ability to integrate their genes into the host genome, transferring a large amount of foreign genetic material, infecting a broad spectrum of species and cell types and of being packaged in special cell-lines (Miller, 1992).

The retroviruses are a group of single-stranded RNA viruses characterized by an ability to convert their RNA to double-stranded DNA in infected cells by a process of reverse-transcription (Coffin, 1990). The resulting DNA then stably integrates into cellular chromosomes as a provirus and directs synthesis of viral proteins. The integration results in the retention of the viral gene sequences in the recipient cell and its descendants. The retroviral genome contains three genes, gag, pol, and env that code for capsid proteins, polymerase enzyme, and envelope components, respectively. A sequence found upstream from the gag gene contains a signal for packaging of the genome into virions. Two long terminal repeat (LTR) sequences are present at the 5' and 3' ends of the viral genome. These contain strong promoter and enhancer sequences and are also required for integration in the host cell genome (Coffin, 1990).

In order to construct a retroviral vector, a nucleic acid encoding a gene of interest is inserted into the viral genome in the place of certain viral sequences to produce a virus that is replication-defective. In order to produce virions, a packaging cell line containing the gag, pol, and env genes but without the LTR and packaging components is constructed (Mann *et al.*, 1983). When a recombinant plasmid containing a cDNA, together with the retroviral LTR and packaging sequences is introduced into this cell line (by calcium phosphate precipitation for example), the packaging sequence allows the RNA transcript of the recombinant plasmid to be packaged into viral particles, which are then secreted into the culture media (Nicolas and Rubenstein, 1988; Temin, 1986; Mann *et al.*, 1983). The media containing the recombinant retroviruses is then collected, optionally concentrated, and used for gene transfer. Retroviral vectors are able to infect a broad variety of cell types. However, integration and stable expression require the division of host cells (Paskind *et al.*, 1975).

Concern with the use of defective retrovirus vectors is the potential appearance of wild-type replication-competent virus in the packaging cells. This can result from recombination events in which the intact sequence from the recombinant virus inserts upstream from the gag, pol, env sequence integrated in the host cell genome. However, new packaging cell lines are now available that should greatly decrease the likelihood of recombination (Markowitz *et al.*, 1988; Hersdorffer *et al.*, 1990).

Gene delivery using second generation retroviral vectors has been reported. Kasahara *et al.* (1994) prepared an engineered variant of the Moloney murine leukemia virus, that normally infects only mouse cells, and modified an envelope protein so that the virus specifically bound to, and infected, human cells bearing the erythropoietin (EPO) receptor. This was achieved by inserting a portion of the EPO sequence into an envelope protein to create a chimeric protein with a new binding specificity.

#### d. Other Viral Vectors

Other viral vectors may be employed as expression constructs in the present invention. Vectors derived from viruses such as vaccinia virus (Ridgeway, 1988; Baichwal and Sugden, 1986; Coupar *et al.*, 1988), sindbis virus, cytomegalovirus and herpes simplex virus may be employed. They offer several attractive features for various mammalian cells (Friedmann, 1989; Ridgeway, 1988; Baichwal and Sugden, 1986; Coupar *et al.*, 1988; Horwich *et al.*, 1990).

With the recent recognition of defective hepatitis B viruses, new insight was gained into the structure-function relationship of different viral sequences. *In vitro* studies showed that the virus could retain the ability for helper-dependent packaging and reverse transcription despite the deletion of up to 80% of its genome (Horwich *et al.*, 1990). This suggested that large portions of the genome could be replaced with foreign genetic material. Chang *et al.* recently introduced the chloramphenicol acetyltransferase (CAT) gene into duck hepatitis B virus genome in the place of the polymerase, surface, and pre-surface coding sequences. It was cotransfected with wild-type virus into an avian hepatoma cell line. Culture media containing high titers of the recombinant virus were used to infect primary duckling hepatocytes. Stable CAT gene expression was detected for at least 24 days after transfection (Chang *et al.*, 1991).

In certain further embodiments, the vector will be HSV. A factor that makes HSV an attractive vector is the size and organization of the genome. Because HSV is large, incorporation of multiple genes or expression cassettes is less problematic than in other smaller viral systems. In addition, the availability of different viral control sequences with varying performance (temporal, strength, *etc.*) makes it possible to control expression to a greater extent than in other

systems. It also is an advantage that the virus has relatively few spliced messages, further easing genetic manipulations. HSV also is relatively easy to manipulate and can be grown to high titers. Thus, delivery is less of a problem, both in terms of volumes needed to attain sufficient MOI and in a lessened need for repeat dosings.

5

#### e. Modified Viruses

In still further embodiments of the present invention, the nucleic acids to be delivered are housed within an infective virus that has been engineered to express a specific binding ligand. The virus particle will thus bind specifically to the cognate receptors of the target cell and deliver  
10 the contents to the cell. A novel approach designed to allow specific targeting of retrovirus vectors was recently developed based on the chemical modification of a retrovirus by the chemical addition of lactose residues to the viral envelope. This modification can permit the specific infection of hepatocytes via sialoglycoprotein receptors.

15 Another approach to targeting of recombinant retroviruses was designed in which biotinylated antibodies against a retroviral envelope protein and against a specific cell receptor were used. The antibodies were coupled *via* the biotin components by using streptavidin (Roux *et al.*, 1989). Using antibodies against major histocompatibility complex class I and class II antigens, they demonstrated the infection of a variety of human cells that bore those surface  
20 antigens with an ecotropic virus *in vitro* (Roux *et al.*, 1989).

## 2. Other Methods of DNA Delivery

In various embodiments of the invention, DNA is delivered to a cell as an expression construct. In order to effect expression of a gene construct, the expression construct must be  
25 delivered into a cell. As described herein, the preferred mechanism for delivery is *via* viral infection, where the expression construct is encapsidated in an infectious viral particle. However, several non-viral methods for the transfer of expression constructs into cells also are contemplated by the present invention. In one embodiment of the present invention, the expression construct may consist only of naked recombinant DNA or plasmids. Transfer of the  
30 construct may be performed by any of the methods mentioned which physically or chemically

permeabilize the cell membrane. Some of these techniques may be successfully adapted for *in vivo* or *ex vivo* use, as discussed below.

**a. Liposome-Mediated Transfection**

5 In a further embodiment of the invention, the expression construct may be entrapped in a liposome. Liposomes are vesicular structures characterized by a phospholipid bilayer membrane and an inner aqueous medium. Multilamellar liposomes have multiple lipid layers separated by aqueous medium. They form spontaneously when phospholipids are suspended in an excess of aqueous solution. The lipid components undergo self-rearrangement before the formation of  
10 closed structures and entrap water and dissolved solutes between the lipid bilayers (Ghosh and Bachhawat, 1991). Also contemplated is an expression construct complexed with Lipofectamine (Gibco BRL).

Liposome-mediated nucleic acid delivery and expression of foreign DNA *in vitro* has  
15 been very successful (Nicolau and Sene, 1982; Fraley *et al.*, 1979; Nicolau *et al.*, 1987). Wong *et al.* (1980) demonstrated the feasibility of liposome-mediated delivery and expression of foreign DNA in cultured chick embryo, HeLa and hepatoma cells.

In certain embodiments of the invention, the liposome may be complexed with a  
20 hemagglutinating virus (HVJ). This has been shown to facilitate fusion with the cell membrane and promote cell entry of liposome-encapsulated DNA (Kaneda *et al.*, 1989). In other embodiments, the liposome may be complexed or employed in conjunction with nuclear non-histone chromosomal proteins (HMG-1) (Kato *et al.*, 1991). In yet further embodiments, the liposome may be complexed or employed in conjunction with both HVJ and HMG-1. In other  
25 embodiments, the delivery vehicle may comprise a ligand and a liposome. Where a bacterial promoter is employed in the DNA construct, it also will be desirable to include within the liposome an appropriate bacterial polymerase.

#### **b. Electroporation**

In certain embodiments of the present invention, the expression construct is introduced into the cell *via* electroporation. Electroporation involves the exposure of a suspension of cells and DNA to a high-voltage electric discharge.

5

Transfection of eukaryotic cells using electroporation has been quite successful. Mouse pre-B lymphocytes have been transfected with human kappa-immunoglobulin genes (Potter *et al.*, 1984), and rat hepatocytes have been transfected with the chloramphenicol acetyltransferase gene (Tur-Kaspa *et al.*, 1986) in this manner.

10

#### **c. Calcium Phosphate or DEAE-Dextran**

In other embodiments of the present invention, the expression construct is introduced to the cells using calcium phosphate precipitation. Human KB cells have been transfected with adenovirus 5 DNA (Graham and Van Der Eb, 1973) using this technique. Also in this manner, mouse L(A9), mouse C127, CHO, CV-1, BHK, NIH3T3 and HeLa cells were transfected with a neomycin marker gene (Chen and Okayama, 1987), and rat hepatocytes were transfected with a variety of marker genes (Rippe *et al.*, 1990).

15

In another embodiment, the expression construct is delivered into the cell using DEAE-dextran followed by polyethylene glycol. In this manner, reporter plasmids were introduced into mouse myeloma and erythroleukemia cells (Gopal, 1985).

20

#### **d. Particle Bombardment**

Another embodiment of the invention for transferring a naked DNA expression construct into cells may involve particle bombardment. This method depends on the ability to accelerate DNA-coated microprojectiles to a high velocity allowing them to pierce cell membranes and enter cells without killing them (Klein *et al.*, 1987). Several devices for accelerating small particles have been developed. One such device relies on a high voltage discharge to generate an electrical current, which in turn provides the motive force (Yang *et al.*, 1990). The microprojectiles used have consisted of biologically inert substances such as tungsten or gold beads.

25

30

**e. Direct Microinjection or Sonication Loading**

Further embodiments of the present invention include the introduction of the expression construct by direct microinjection or sonication loading. Direct microinjection has been used to introduce nucleic acid constructs into *Xenopus* oocytes (Harland and Weintraub, 1985), and LTK<sup>-</sup> fibroblasts have been transfected with the thymidine kinase gene by sonication loading (Fechheimer *et al.*, 1987).

**f. Adenoviral Assisted Transfection**

In certain embodiments of the present invention, the expression construct is introduced into the cell using adenovirus assisted transfection. Increased transfection efficiencies have been reported in cell systems using adenovirus coupled systems (Kelleher and Vos, 1994; Cotten *et al.*, 1992; Curiel, 1994).

**g. Receptor Mediated Transfection**

Still further expression constructs that may be employed to deliver nucleic acid construct to target cells are receptor-mediated delivery vehicles. These take advantage of the selective uptake of macromolecules by receptor-mediated endocytosis that will be occurring in the target cells. In view of the cell type-specific distribution of various receptors, this delivery method adds another degree of specificity to the present invention. Specific delivery in the context of another mammalian cell type is described by Wu and Wu (1993; incorporated herein by reference).

Certain receptor-mediated gene targeting vehicles comprise a cell receptor-specific ligand and a DNA-binding agent. Others comprise a cell receptor-specific ligand to which the DNA construct to be delivered has been operatively attached. Several ligands have been used for receptor-mediated gene transfer (Wu and Wu, 1987; Wagner *et al.*, 1990; Perales *et al.*, 1994; Myers, EPO 0273085), which establishes the operability of the technique. In certain aspects of the present invention, the ligand will be chosen to correspond to a receptor specifically expressed on the EOE target cell population.

In other embodiments, the DNA delivery vehicle component of a cell-specific gene targeting vehicle may comprise a specific binding ligand in combination with a liposome. The nucleic acids to be delivered are housed within the liposome and the specific binding ligand is functionally incorporated into the liposome membrane. The liposome will thus specifically bind to the receptors of the target cell and deliver the contents to the cell. Such systems have been shown to be functional using systems in which, for example, epidermal growth factor (EGF) is used in the receptor-mediated delivery of a nucleic acid to cells that exhibit upregulation of the EGF receptor.

In still further embodiments, the DNA delivery vehicle component of the targeted delivery vehicles may be a liposome itself, which will preferably comprise one or more lipids or glycoproteins that direct cell-specific binding. For example, Nicolau *et al.* (1987) employed lactosyl-ceramide, a galactose-terminal asialganglioside, incorporated into liposomes and observed an increase in the uptake of the insulin gene by hepatocytes. It is contemplated that the tissue-specific transforming constructs of the present invention can be specifically delivered into the target cells in a similar manner.

#### **h. Homologous Recombination**

Homologous recombination (Koller and Smithies, 1992) allows the precise modification of existing genes, overcomes the problems of positional effects and insertional inactivation, and allows the inactivation of specific genes, as well as the replacement of one gene for another. Methods for homologous recombination are described in U. S. Patent 5,614,396, incorporated herein in its entirety by reference.

Thus a preferred method for the delivery of transgenic constructs involves the use of homologous recombination. Homologous recombination relies, like antisense, on the tendency of nucleic acids to base pair with complementary sequences. In this instance, the base pairing serves to facilitate the interaction of two separate nucleic acid molecules so that strand breakage and repair can take place. In other words, the "homologous" aspect of the method relies on sequence homology to bring two complementary sequences into close proximity, while the

"recombination" aspect provides for one complementary sequence to replace the other by virtue of the breaking of certain bonds and the formation of others.

Put into practice, homologous recombination is used as follows. First, a site for integration is selected within the host cell. Sequences homologous to the integration site are then included in a genetic construct, flanking the selected gene to be integrated into the genome. Flanking, in this context, simply means that target homologous sequences are located both upstream (5') and downstream (3') of the selected gene. These sequences should correspond to some sequences upstream and downstream of the target gene. The construct is then introduced into the cell, thus permitting recombination between the cellular sequences and the construct.

As a practical matter, the genetic construct will normally act as far more than a vehicle to insert the gene into the genome. For example, it is important to be able to select for recombinants and, therefore, it is common to include within the construct a selectable marker gene. This gene permits selection of cells that have integrated the construct into their genomic DNA by conferring resistance to various biostatic and biocidal drugs. In addition, this technique may be used to "knock-out" (delete) or interrupt a particular gene. Thus, another approach for altering or mutating a gene involves the use of homologous recombination, or "knock-out technology". This is accomplished by including a mutated or vastly deleted form of the heterologous gene between the flanking regions within the construct. The arrangement of a construct to effect homologous recombination might be as follows:

...vector•5'-flanking sequence•selected gene• selectable marker gene•flanking sequence-  
3'•vector...

Thus, using this kind of construct, it is possible, in a single recombinatorial event, to (i) "knock out" an endogenous gene, (ii) provide a selectable marker for identifying such an event and (iii) introduce a transgene for expression.

Another refinement of the homologous recombination approach involves the use of a "negative" selectable marker. One example is the use of the cytosine deaminase gene in a

negative selection method as described in U.S. Patent No. 5,624,830. The negative selection marker, unlike the selectable marker, causes death of cells which express the marker. Thus, it is used to identify undesirable recombination events. When seeking to select homologous recombinants using a selectable marker, it is difficult in the initial screening step to identify proper homologous recombinants from recombinants generated from random, non-sequence specific events. These recombinants also may contain the selectable marker gene and may express the heterologous protein of interest, but will, in all likelihood, not have the desired phenotype. By attaching a negative selectable marker to the construct, but outside of the flanking regions, one can select against many random recombination events that will incorporate the negative selectable marker. Homologous recombination should not introduce the negative selectable marker, as it is outside of the flanking sequences.

### 3. Marker genes

In certain aspects of the present invention, specific cells are tagged with specific genetic markers to provide information about the fate of the tagged cells. Therefore, the present invention also provides recombinant candidate screening and selection methods which are based upon whole cell assays and which, preferably, employ a reporter gene that confers on its recombinant hosts a readily detectable phenotype that emerges only under conditions where a general DNA promoter positioned upstream of the reporter gene is functional. Generally, reporter genes encode a polypeptide (marker protein) not otherwise produced by the host cell which is detectable by analysis of the cell culture, *e.g.*, by fluorometric, radioisotopic or spectrophotometric analysis of the cell culture.

In other aspects of the present invention, a genetic marker is provided which is detectable by standard genetic analysis techniques, such as DNA amplification by PCR™ or hybridization using fluorometric, radioisotopic or spectrophotometric probes.

#### a. Screening

Exemplary enzymes include esterases, phosphatases, proteases (tissue plasminogen activator or urokinase) and other enzymes capable of being detected by their activity, as will be known to those skilled in the art. Contemplated for use in the present invention is green

fluorescent protein (GFP) as a marker for transgene expression (Chalfie *et al.*, 1994). The use of GFP does not need exogenously added substrates, only irradiation by near UV or blue light, and thus has significant potential for use in monitoring gene expression in living cells.

5           Other particular examples are the enzyme chloramphenicol acetyltransferase (CAT) which may be employed with a radiolabelled substrate, firefly and bacterial luciferase, and the bacterial enzymes  $\beta$ -galactosidase and  $\beta$ -glucuronidase. Other marker genes within this class are well known to those of skill in the art, and are suitable for use in the present invention.

#### 10                   b.       Selection

Another class of reporter genes which confer detectable characteristics on a host cell are those which encode polypeptides, generally enzymes, which render their transformants resistant against toxins. Examples of this class of reporter genes are the *neo* gene (Colberre-Garapin *et al.*, 1981) which protects host cells against toxic levels of the antibiotic G418, the gene conferring streptomycin resistance (U. S. Patent 4,430,434), the gene conferring hygromycin B  
15           resistance (Santerre *et al.*, 1984; U. S. Patents 4,727,028, 4,960,704 and 4,559,302), a gene encoding dihydrofolate reductase, which confers resistance to methotrexate (Alt *et al.*, 1978), the enzyme HPRT, along with many others well known in the art (Kaufman, 1990).

#### 20           D.       Culture System

For long-term, high-yield production of a recombinant protein, polypeptide or peptide, stable expression is preferred. For example, cell lines that stably express constructs encoding a protein, polypeptide or peptide may be engineered. Rather than using expression vectors that contain viral origins of replication, host cells can be transformed with vectors controlled by  
25           appropriate expression control elements (*e.g.*, promoter, enhancer, sequences, transcription terminators, polyadenylation sites, etc.), and a selectable marker. Following the introduction of foreign DNA, engineered cells may be allowed to grow for 1-2 days in an enriched media, and then are switched to a selective media. The selectable marker in the recombinant plasmid confers resistance to the selection and allows cells to stably integrate the plasmid into their  
30           chromosomes and grow to form foci which in turn can be cloned and expanded into cell lines.

A number of selection systems may be used, including, but not limited to, the herpes simplex virus thymidine kinase (tk), hypoxanthine-guanine phosphoribosyltransferase (hgp<sup>rt</sup>) and adenine phosphoribosyltransferase (ap<sup>rt</sup>) genes, in tk<sup>-</sup>, hgp<sup>rt</sup><sup>-</sup> or ap<sup>rt</sup><sup>-</sup> cells, respectively. Also, antimetabolite resistance can be used as the basis of selection for dihydrofolate reductase (dhfr), that confers resistance to methotrexate; gpt, that confers resistance to mycophenolic acid; neomycin (neo), that confers resistance to the aminoglycoside G-418; and hygromycin (hygro), that confers resistance to hygromycin.

Animal cells can be propagated *in vitro* in two modes: as non-anchorage dependent cells growing in suspension throughout the bulk of the culture or as anchorage-dependent cells requiring attachment to a solid substrate for their propagation (*i.e.*, a monolayer type of cell growth).

Non-anchorage dependent or suspension cultures from continuous established cell lines are the most widely used means of large scale production of cells and cell products. However, suspension cultured cells have limitations, such as tumorigenic potential and lower protein production than adherent cells.

Large scale suspension culture of mammalian cells in stirred tanks is a common method for production of recombinant proteins. Two suspension culture reactor designs are in wide use - the stirred reactor and the airlift reactor. The stirred design has successfully been used on an 8000 liter capacity for the production of interferon. Cells are grown in a stainless steel tank with a height-to-diameter ratio of 1:1 to 3:1. The culture is usually mixed with one or more agitators, based on bladed disks or marine propeller patterns. Agitator systems offering less shear forces than blades have been described. Agitation may be driven either directly or indirectly by magnetically coupled drives. Indirect drives reduce the risk of microbial contamination through seals on stirrer shafts.

The airlift reactor, also initially described for microbial fermentation and later adapted for mammalian culture, relies on a gas stream to both mix and oxygenate the culture. The gas stream enters a riser section of the reactor and drives circulation. Gas disengages at the culture

surface, causing denser liquid free of gas bubbles to travel downward in the downcomer section of the reactor. The main advantage of this design is the simplicity and lack of need for mechanical mixing. Typically, the height-to-diameter ratio is 10:1. The airlift reactor scales up relatively easily, has good mass transfer of gases and generates relatively low shear forces.

5

It is contemplated that the proteins, polypeptides or peptides of the invention may be "overexpressed", *i.e.*, expressed in increased levels relative to its natural expression in cells. Such overexpression may be assessed by a variety of methods, including radio-labeling and/or protein purification. However, simple and direct methods are preferred, for example, those involving SDS/PAGE and protein staining or western blotting, followed by quantitative analyses, such as densitometric scanning of the resultant gel or blot. A specific increase in the level of the recombinant protein or peptide in comparison to the level in natural cells is indicative of overexpression, as is a relative abundance of the specific protein in relation to the other proteins produced by the host cell and, *e.g.*, visible on a gel.

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#### **E. Complementation**

The terms "structural complementation", "complementation" or "alpha complementation" as used herein certain embodiments refers to the ability of at least one polypeptide comprising a protein fragment or domain to alter the activity of at least a second polypeptide comprising a protein fragment or domain. In certain embodiments, the at least one polypeptide and the at least second polypeptide are derived from the same precursor protein sequence. A non-limiting example of this is the complementation of  $\beta$ -lactosidase's activity that occurs when the  $\alpha$ -fragment and the  $\omega$  fragment of  $\beta$ -lactosidase interact to produce an active  $\beta$ -lactosidase enzymatic complex.

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Other complementing protein fragments are known in the art. Non-limiting examples include the *P. falciparum* thymidylate synthase and dihydrofolate reductase domains (Shallom *et al.*, 1999), and the alpha and beta subunits of the mitochondrial processing peptidase of different species (Adamec *et al.*, 1999), whose activity was detected by the used of temperature sensitive mutant yeast strains.

30

Thus, it is contemplated that various peptide or polypeptide sequences may be used to produce fusion proteins with a target protein, so that the folding of the target protein into a soluble form can be detected via the change in activity of the complemented peptide or polypeptide. It is also contemplated that additional complementing fragments of commonly used or well known selectable or screenable markers may be made for use in the present invention. Non-limiting examples of such markers include a target binding protein, such as ubiquitin; an enzyme, such as  $\beta$ -galactosidase, cytochrome c, chymotrypsin inhibitor, Rnase, phosphoglycerate kinase, invertase, staphylococcal nuclease, thioredoxin C, lactose permease, amino acyl tRNA synthase, or dihydrofolate reductase; a protein inhibitor, a fluorophore or a chromophore, such as green fluorescent protein, blue fluorescent protein, yellow fluorescent protein, luciferase or aquorin.

It is contemplated that one or more fragments of such markers may be produced through recombinant technology that is well known to those of skill in the art, to produce an complementation system for assaying protein folding as described herein. In a non-limiting example, a nucleic acid encoding a N-terminal sequence of about 250 amino acids or less of a marker protein may be operatively associated with a nucleic acid of a protein of interest to be folded into soluble form. Such nucleic acids may be used to construct an expression vector as described herein, and used to complement a cell that expresses the C-terminal terminal sequence of the marker protein. In an alternative non-limiting example, a nucleic acid encoding a C-terminal sequence of about 250 amino acids or less of a marker protein may be operatively associated with a nucleic acid of a protein of interest to be folded into soluble form. Such nucleic acids may be used to construct an expression vector as described herein, and used to complement a cell that expresses the N-terminal terminal sequence of the marker protein. Of course, one of skill in the art may design nucleic acids encoding marker gene fragments of various lengths. In certain embodiments, the marker gene fragment may encode a polypeptide or peptide of less than about 200, about 150, about 100, about 99, about 98, about 97, about 96, about 95, about 94, about 93, about 92, about 91, about 90, about 89, about 88, about 87, about 86, about 85, about 84, about 83, about 82, about 81, about 80, about 79, about 78, about 77, about 76, about 75, about 74, about 73, about 72, about 71, about 70, about 69, about 68, about 67, about 66, about 65, about 64, about 63, about 62, about 61, about 60, about 59, about 58,

about 57, about 56, about 55, about 54, about 53, about 52, about 51, about 50, about 49, about 48, about 47, about 46, about 45, about 44, about 43, about 42, about 41, about 40, about 39, about 38, about 37, about 36, about 35, about 34, about 33, about 32, about 31, about 30, about 29, about 28, about 27, about 26, about 25, about 24, about 23, about 22, about 21, about 20, about 19, about 18, about 17, about 16, about 15, about 14, about 13, about 12, about 11, about 10, about 9, about 8, about 7, about 6, about 5, to about 4 amino acids, which is operatively associated with the nucleic acid encoding the protein that is soluble when folded correctly.

## **F. Screening Assays**

The present invention is directed to the use of an  $\alpha$ -complementation system to screen for various aspects of protein fold and/or solubility. As discussed above, an important aspect of the invention is the use of a fusion protein that contains sequences from the protein of interest as well as a portion of a marker protein. The marker protein, in the context of the fusion, is incapable of exhibiting its detectable phenotype. However, when expressed in an environment that also includes the complementing portion of the marker protein, "complementation" takes place and a detectable event occurs, assuming that the protein is properly folded and remains soluble. This assay provides many advantages, including fidelity, sensitivity, ease of handling, and ready adaptability.

### **1. Methods**

There are three primary applications for the invention: screening of proteins for suitability in recombinant polypeptide production, screening for mutants or domain boundaries with altered folding and/or solubility profiles (*e.g.*, diagnosis of disease), and screening for drugs that modulate protein folding and/or solubility. In the first embodiment, the method includes the steps of:

- a) providing an expression construct comprising (i) a gene encoding a fusion protein, said fusion protein comprising a protein of interest fused to a first segment of a marker protein, wherein said first segment does not affect the folding or solubility of the protein of interest, or affects it only in a systematic (*i.e.*, predictable and

repeatable) manner and (ii) a promoter active in said host cell and operably linked to said gene;

- b) expressing said fusion protein in a host cell that also expresses a second segment of said marker protein, wherein said second segment is capable of structural complementation with said first segment; and
- c) determining structural complementation.

By comparing the degree of structural complementation in the method with that seen with appropriate negative controls, changes in folding and/or solubility of said protein can be determined. By looking at particular cell types from patients suspected of having particular disease states, this general method of screening can be transformed into a specific diagnostic method.

In another embodiment, a method of screening for folding and/or solubility mutants is provided, and includes the steps of:

- a) providing a gene encoding fusion protein comprising (i) a protein of interest and (ii) a first segment of a marker protein, wherein said first segment does not affect the folding or solubility of the protein of interest, or affects it only in a systematic (*i.e.*, predictable and repeatable) manner, wherein said fusion protein is not properly folded and/or soluble when expressed in said host cell;
- b) mutagenizing that portion of the gene encoding said protein of interest;
- c) expressing said fusion protein in a host cell that expresses a second segment of said marker protein, wherein said second segment is capable of structural complementation with said first segment; and
- d) determining structural complementation.

Again, a relative change in structural complementation, as compared to the structural complementation observed with the unmutagenized fusion protein, indicates a change in proper folding and/or solubility of said protein. An alternative embodiment involves the mutation of a gene of interest prior to its fusion with the marker protein segment.

Finally, a third assay involves screening for candidate modulator substances that modulate protein folding and/or solubility, including the steps of:

- 5           a)     providing an expression construct comprising (i) a gene encoding fusion protein, said fusion protein comprising a protein of interest fused to a first segment of a marker protein, wherein said first segment does not affect the folding or solubility of the protein of interest, or affects it only in a systematic (*i.e.*, predictable and repeatable) manner, and (ii) a promoter active in said host cell and operably linked to said gene;
- 10           b)     expressing said fusion protein in a host cell that expresses a second segment of said marker protein, wherein said second segment is capable of structural complementation with said first segment;
- c)     contacting the host cell with said candidate modulator substance; and
- 15           d)     determining structural complementation.

Again, a relative change in structural complementation, as compared to the structural complementation observed in the absence of said candidate modulator substance, indicates that said candidate modulator substance is a modulator of protein folding and/or solubility

## 20           2.     Modulators

As used herein the term "candidate substance" refers to any molecule that may potentially inhibit or enhance protein folding and/or solubility. The candidate substance may be a protein or fragment thereof, a small molecule, or even a nucleic acid molecule. Using lead compounds to help develop improved compounds is known as "rational drug design" and includes not only comparisons with known inhibitors and activators, but predictions relating to the structure of target molecules.

The goal of rational drug design is to produce structural analogs of biologically active polypeptides or target compounds. By creating such analogs, it is possible to fashion drugs, which are more active or stable than the natural molecules, which have different susceptibility to

alteration or which may affect the function of various other molecules. In one approach, one would generate a three-dimensional structure for a target molecule, or a fragment thereof. This could be accomplished by x-ray crystallography, computer modeling or by a combination of both approaches.

5

It also is possible to use antibodies to ascertain the structure of a target compound activator or inhibitor. In principle, this approach yields a pharmacore upon which subsequent drug design can be based. It is possible to bypass protein crystallography altogether by generating anti-idiotypic antibodies to a functional, pharmacologically active antibody. As a mirror image of a mirror image, the binding site of anti-idiotypic would be expected to be an analog of the original antigen. The anti-idiotypic could then be used to identify and isolate peptides from banks of chemically- or biologically-produced peptides. Selected peptides would then serve as the pharmacore. Anti-idiotypes may be generated using the methods described herein for producing antibodies, using an antibody as the antigen.

15

On the other hand, one may simply acquire, from various commercial sources, small molecule libraries that are believed to meet the basic criteria for useful drugs in an effort to "brute force" the identification of useful compounds. Screening of such libraries, including combinatorially generated libraries (*e.g.*, peptide libraries), is a rapid and efficient way to screen large number of related (and unrelated) compounds for activity. Combinatorial approaches also lend themselves to rapid evolution of potential drugs by the creation of second, third and fourth generation compounds modeled of active, but otherwise undesirable compounds.

Candidate compounds may include fragments or parts of naturally-occurring compounds, or may be found as active combinations of known compounds, which are otherwise inactive. It is proposed that compounds isolated from natural sources, such as animals, bacteria, fungi, plant sources, including leaves and bark, and marine samples may be assayed as candidates for the presence of potentially useful pharmaceutical agents. It will be understood that the pharmaceutical agents to be screened could also be derived or synthesized from chemical compositions or man-made compounds. Thus, it is understood that the candidate substance identified by the present invention may be peptide, polypeptide, polynucleotide, small molecule

inhibitors or any other compounds that may be designed through rational drug design starting from known inhibitors or stimulators.

Other suitable modulators include antisense molecules, ribozymes, and antibodies (including single chain antibodies), each of which would be specific for the target molecule. Such compounds are described in greater detail elsewhere in this document. For example, an antisense molecule that bound to a translational or transcriptional start site, or splice junctions, would be ideal candidate inhibitors.

In addition to the modulating compounds initially identified, the inventors also contemplate that other sterically similar compounds may be formulated to mimic the key portions of the structure of the modulators. Such compounds, which may include peptidomimetics of peptide modulators, may be used in the same manner as the initial modulators.

### 3. Assay Formats

A quick, inexpensive and easy assay to run is an *in vitro* assay. Various cell lines can be utilized for such screening assays, including cells specifically engineered for this purpose, as discussed in detail above. Depending on the assay, culture may be required. The cell is examined using  $\alpha$ -complementation as a readout. Alternatively, molecular analysis may be performed, for example, looking at protein expression, mRNA expression (including differential display of whole cell or polyA RNA) and others.

*In vivo* assays involve the use of various animal models, including transgenic animals that have been engineered to express both the fusion protein (target protein + first marker segment) and the complementing molecule (second marker segment). Due to their size, ease of handling, and information on their physiology and genetic make-up, mice are a preferred embodiment, especially for transgenics. However, other animals are suitable as well, including insects, nematodes, rats, rabbits, hamsters, guinea pigs, gerbils, woodchucks, cats, dogs, sheep, goats, pigs, cows, horses and monkeys (including chimps, gibbons and baboons). Assays for modulators may be conducted using an animal model derived from any of these species.

In such assays, one or more candidate substances are administered to an animal, and the ability of the candidate substance(s) to alter protein folding and/or solubility, as compared to a similar animal not treated with the candidate substance(s), identifies a modulator.

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Treatment of these animals with candidate substances will involve the administration of the compound, in an appropriate form, to the animal. Administration will be by any route that could be utilized for clinical or non-clinical purposes, including but not limited to oral, nasal, buccal, or even topical. Alternatively, administration may be by intratracheal instillation, bronchial instillation, intradermal, subcutaneous, intramuscular, intraperitoneal or intravenous injection. Specifically contemplated routes are systemic intravenous injection, regional administration via blood or lymph supply, or directly to an affected site.

Determining the effectiveness of a compound *in vivo* may involve a variety of different criteria. Also, measuring toxicity and dose response can be performed in animals in a more meaningful fashion than in *in vitro* or *in cyto* assays.

#### 4. High Throughput and Flow Cytometry

High throughput formats are of particular use in drug screening. Flow cytometry involves the separation of cells or other particles in a liquid sample based upon signals generated in the host cells. Generally, the purpose of flow cytometry is to analyze the separated particles for one or more characteristics thereof. The basic steps of flow cytometry involve the direction of a fluid sample through an apparatus such that a liquid stream passes through a sensing region. The particles should pass one at a time by the sensor and are categorized base on size, refraction, light scattering, opacity, roughness, shape, fluorescence, *etc.*

Rapid quantitative analysis of cells proves useful in biomedical research and medicine. Apparati permit quantitative multiparameter analysis of cellular properties at rates of several thousand cells per second. These instruments provide the ability to differentiate among cell types. Data are often displayed in one-dimensional (histogram) or two-dimensional (contour plot, scatter plot) frequency distributions of measured variables. The partitioning of

multiparameter data files involves consecutive use of the interactive one- or two-dimensional graphics programs.

Quantitative analysis of multiparameter flow cytometric data for rapid cell detection consists of two stages: cell class characterization and sample processing. In general, the process of cell class characterization partitions the cell feature into cells of interest and not of interest. Then, in sample processing, each cell is classified in one of the two categories according to the region in which it falls. Analysis of the class of cells is very important, as high detection performance may be expected only if an appropriate characteristic of the cells is obtained.

Not only is cell analysis performed by flow cytometry, but so too is sorting of cells. In U.S. Patent 3,826,364 (incorporated by reference), an apparatus is disclosed which physically separates particles, such as functionally different cell types. In this machine, a laser provides illumination which is focused on the stream of particles by a suitable lens or lens system so that there is highly localized scatter from the particles therein. In addition, high intensity source illumination is directed onto the stream of particles for the excitation of fluorescent particles in the stream. Certain particles in the stream may be selectively charged and then separated by deflecting them into designated receptacles. A classic form of this separation is via fluorescent tagged antibodies, which are used to mark one or more cell types for separation.

Other methods for flow cytometry can be found in U.S. Patents 4,284,412; 4,989,977; 4,498,766; 5,478,722; 4,857,451; 4,774,189; 4,767,206; 4,714,682; 5,160,974; and 4,661,913, all of which are incorporated by reference.

## **G. Examples**

The following examples are included to demonstrate preferred embodiments of the invention. It should be appreciated by those of skill in the art that the techniques disclosed in the examples which follow represent techniques discovered by the inventor to function well in the practice of the invention, and thus can be considered to constitute preferred modes for its practice. However, those of skill in the art should, in light of the present disclosure, appreciate

that many changes can be made in the specific embodiments which are disclosed and still obtain a like or similar result without departing from the spirit and scope of the invention.

## EXAMPLE 1: MATERIALS AND METHODS

### 5 *Antibodies, Chemicals and Expression Vectors*

Monoclonal mouse anti-HA and polyclonal sheep anti-MBP antibodies were purchased from BabCO (Richmond, CA). Horseradish peroxidase-conjugated (HRP) secondary antibodies were from Jackson ImmunoResearch Laboratories (West Grove, PA). Isopropyl- $\beta$ -D-thiogalactopyranoside (IPTG) and 5-bromo-4-chloro-3-indolyl- $\beta$ -D-galactopyranoside (X-gal) were from Boehringer Mannheim (Indianapolis, IN). O-nitrophenyl- $\beta$ -D-galactopyranoside (ONPG) was purchased from Sigma (St. Louis, MO). The expression vector pMAL-c2x, coding for an MBP- $\alpha$  fusion, was from New England Biolabs (Beverly, MA). A plasmid containing cDNA for the LivF protein of *M. jannaschii* (MJ1267) was obtained from the American Type Culture Collection. Plasmid pAPP770 containing cDNA for the Alzheimer's precursor protein (APP) was the generous gift of Dr. J. Herz, Dept. Molecular Genetics, UT Southwestern, Dallas, TX. Plasmid pTRx.parallel1 containing cDNA for thioredoxin was the generous gift of Dr. K. Gardner, Dept. Biochemistry, UT Southwestern, Dallas, TX. Plasmid pGex-2t containing cDNA for glutathione S-transferase was from Amersham/Pharmacia (Piscataway, NJ).

### *Construction of $\alpha$ -Fusion Expression Vectors*

20 Complementary DNA fragments coding for residues 404-644 (NBD1-B) and 419-655 (NBD1-D) of CFTR were excised using NdeI and XhoI from pET28a expression plasmids generated as previously described (Qu & Thomas, 1996). Based upon homology to the recently published HisP NBD crystal structure (Hung *et al.*, 1998), these constructs are predicted to contain the entire first NBD of CFTR. The resulting fragments were ligated into NdeI/Sall-digested pMal-c2x in place of the maltose-binding protein (MBP), forming an in-frame fusion with the  $\alpha$ -fragment (residues 7-58 from full length  $\beta$ -galactosidase). Expression cassette PCR was used to assemble the other  $\alpha$ -fusion constructs examined. The MJ1267 cDNA was also subcloned into the NdeI and Sall sites of pMal-c2x. The resulting vector contained an in-frame stop codon between MJ1267 and the polylinker of pMAL-c2x

which was removed by site-directed mutagenesis completing the  $\alpha$ -fusion construct. TRx, GST and A $\beta$  (APP residues 1-42) were each ligated into NdeI/SacI-digested pMal-c2x. The cloning strategy used to assemble the tandem A $\beta$ / $\alpha$ -fusion construct, A $\beta$ -rpt, was similar to that described elsewhere (Culvenor *et al.*, 1998), and utilized an internal EcoRI site to generate an exact A $\beta$ (1-42) repeat with no intervening sequence. All targets were subcloned in the pMal-c2x vector and, therefore, utilize the same promoter. In addition, the ABC transporter NBDs evaluated were also expressed in BL21 cells under the control of the T7 promoter of pET28a. In each case, fidelity of PCR<sup>TM</sup> products and constructs was verified by restriction mapping and DNA sequencing.

10 To serve as a marker for some of the expressed proteins (MJ1267, CFTR-NBD1, TRx, GST and A $\beta$ ), an HA-tag sequence was introduced into the Sall site of the pMal-c2x expression vector using two annealed complimentary oligonucleotides coding for the tag sequence and flanked by Sall linker sequences. Correct orientation of the resulting ligation products was confirmed by DNA sequencing.

#### 15 *Site-directed mutagenesis*

Oligonucleotide-directed mutagenesis using the QuickChange mutagenesis kit (Stratagene, La Jolla, CA) was performed to generate the mutant MBP proteins in the expression vector pMal-c2x. The sequences of the antisense mutagenic primers used are as follows:

G32D/I33P -

5'-GATGCTCAACGGTGACTTTAGGATCGGTATCTTCTCGAATTTC-3'

G32D -

5'-CAACGGTGACTTTAATATCGGTATCTTTCTCG-3'

5 133P -

5'-GGTGACTTTAGGTCCGGTATCTTTCTCG-3'

Mutation incorporation was verified by DNA sequencing. Plasmid DNA was purified using reagents supplied by Qiagen Inc.

#### *Expression of fusion proteins*

10 Expression constructs were transformed into DH5 $\alpha$  *E. coli* by standard methods and colonies selected on LB-agar plates supplemented with 100  $\mu$ g/mL ampicillin (amp). From single colonies, 10 mL LB + amp cultures were inoculated and allowed to grow overnight at 37°C. The following day, the overnight culture was diluted 1000-fold into a fresh 10 mL LB +amp culture and allowed to grow to mid log phase (OD<sub>600</sub> ~ 0.5). Protein production was  
15 induced by the addition of IPTG to 0.3 mM and the cells were further incubated for the indicated times.

#### *In vitro assay of $\beta$ -gal complementation*

After the completion of fusion protein expression, cells (1.5 mL) were harvested by centrifugation at 10,000  $\times$  g for two minutes. After removal of the supernatants, the cell pellets  
20 were resuspended in 1 mL of buffer Z (10 mM KCl, 2.0 mM MgSO<sub>4</sub>, 100 mM NaHPO<sub>4</sub>, pH 7.0). The cells were pelleted again, resuspended in 0.3 mL buffer Z and lysed by three freeze/thaw cycles between liquid nitrogen and a 37°C water bath. Next, 0.1 mL of the resulting cell lysate was transferred to a clean microfuge tube to which buffer Z (0.7 ml) supplemented with 0.27%  $\beta$ -mercaptoethanol was added. Reactions were initiated by the addition of 160  $\mu$ L of ONPG  
25 solution (4.0 mg/mL dissolved in buffer Z) and incubated at 37°C for 10 min. Reactions were

quenched by the addition of 0.4 mL 1 M Na<sub>2</sub>CO<sub>3</sub>. Tubes were then centrifuged at 10,000 × g for 10 min to remove debris and the supernatant's absorption at 420 nm was measured.

#### ***Analysis of soluble and insoluble fractions***

To biochemically analyze the solubility characteristics of the expressed fusion proteins, 3 mL of culture from cells induced for the indicated times was harvested by centrifugation, washed once and resuspended in 600 µL lysis solution (100 mM NaCl, 1 mM EDTA, 50 mM Tris Cl, pH 7.6). The cell suspensions were lysed by sonication three times for 30 sec at 50°C duty cycle and power output of 4 using a Branson model 450 sonifier fit with a microtip probe. All manipulations were carried out on ice. After sonication, the solution was centrifuged to separate soluble and insoluble fractions at 10,000 × g in a microfuge at 4°C for 10 min. Supernatant and pellet fractions were analyzed by SDS PAGE and Western blotting where appropriate.

#### ***SDS PAGE and Western blotting***

Expressed proteins were analyzed by electrophoresis through 10% Tricine-SDS polyacrylamide gels using the buffer system of Schagger and von Jagow (1987). Protein bands were visualized by staining with coomassie blue. For Western immunoblotting, standard methods were employed for transfer of proteins from gels to nitrocellulose. Resulting membranes were blocked in TBS containing Tween-20 and 10% dehydrated milk for at least 1 hr and incubated at room temperature with the indicated primary antibodies. Immunoreactive bands were visualized by ECL (Amersham, Piscataway, NJ) using appropriate HRP-conjugated secondary antibodies and X-ray film. The density of bands on coomassie stained gels and exposed x-ray film were measured on an Agfa Arcus scanner and quantified using Molecular Analyst software (BioRad, Hercules, CA).

#### ***Blue/white screening for β-gal complementation***

Single colonies of DH5α containing the individual expression constructs were analyzed for the ability of the α-fusion proteins to complement β-gal activity *in vivo*. Bacteria harboring each construct were streaked to single colonies on LB-agar plates supplemented with 100 µg/mL ampicillin, 80 µg/mL Xgal, and 0.1 mM IPTG. The plates were incubated at 37°C for 18 to 48 hr and activity of β-gal was assessed by visualization of blue color in α-complementing colonies.

### *Colorimetric screening for $\beta$ -gal complementation in 96-well plates*

Cells harboring each of the indicated expression constructs were grown to mid log phase ( $OD_{600} \approx 0.5$ ) from overnight cultures as described above. 125  $\mu$ l of each culture was transferred to individual wells of a flat-bottom 96-well plate containing 125  $\mu$ l LB media supplemented with 100  $\mu$ g/mL ampicillin and 0.6 mM IPTG (resulting in a final [IPTG] of 0.3 mM). The plates were then placed on an orbit shaker at 37°C with rapid shaking. After induction for 1 hr, X-gal was added to a final concentration of 80  $\mu$ g/mL, and the plate was returned to the shaker at 37°C overnight.

### **EXAMPLE 2: RESULTS**

In order to test the ability of  $\alpha$ -fragment chimeras to complement the  $\omega$ -fragment of  $\beta$ -gal and report target protein solubility by producing active  $\beta$ -gal, model polypeptides were fused to the N-terminus of the  $\alpha$ -fragment in an inducible bacterial expression plasmid (FIG. 1B). Initial experiments focused on the maltose binding protein (MBP) of *E. coli*. MBP is normally secreted into the periplasm of *E. coli*, however, the construct used in the present study lacks the required leader sequence and therefore, folds in the cytoplasm where the  $\omega$ -fragment is located.

To assess the relative abilities of the expressed  $\alpha$ -fusion proteins to complement  $\beta$ -gal activity *in vivo*, *E. coli* harboring the fusion expression constructs were plated on IPTG/X-gal indicator plates and the development of blue color in resulting colonies was monitored. pUC19-transformed DH5 $\alpha$  *E. coli*, which express a 54 residue  $\alpha$ -fragment (residues 6-59 of  $\beta$ -gal), are the most intensely blue. This represents the level of  $\beta$ -gal complementation attributable to the  $\alpha$ -fragment alone. The MBP- $\alpha$  fusion protein (MBP residues 1-366,  $\alpha$ : residues 7-58 of  $\beta$ -gal) also yields significant  $\alpha$ -complementation, although less than observed for pUC19. Yanisch-Perron *et al.* (1985).

Previously, several mutations were identified which lead to diminished solubility and reduced periplasmic yield of MBP (Betton Hofnung, 1986). For example, mutation of two residues, 133P and G32D, decreased soluble periplasmic MBP by more than 100-fold. This double mutation was introduced into MBP/ $\alpha$  fusion construct, and monitored for  $\alpha$ -

complementation on indicator plates. The wild-type MPB and the double mutant expressed at equivalent levels. Consistent with the previously reported effect of these mutations on the *in vivo* solubility of MBP, the G32D/I33P double mutation significantly impaired the solubility and, thus, ability of the fusion protein to complement  $\beta$ -gal activity on indicator plates.

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To test the generality of the assay system, a series of  $\alpha$ -fusion constructs were generated. Fusion to  $\alpha$  of either TRx or GST (two highly soluble proteins used regularly as fusions to aid in the solubility of ill-behaved partners) and express in DH5 $\alpha$  on indicator plates results in blue color development that is as intense as that observed for the MBP/ $\alpha$  fusion construct. Next, a series of nucleotide binding domains (NBD) from two ATP binding-cassette (ABC) transporters were generated and examined. Two are polypeptides predicted to include the first NBD of the cystic fibrosis transmembrane conductance regulator (CFTR): NBD1-B (CFTR residues 404-644), and NBD1-D (CFTR residues 419-655). This domain has poor solubility properties due either to inherently limited solubility in the absence of other domains of the protein with which it normally interacts, or to marginal stability/misfolding or both. Several mutations within this domain prevent proper folding of the full length CFTR *in vivo* and, thus, lead to cystic fibrosis. The third NBD, LivF (MJ1267), is a subunit of the branched chain amino acid transporter from the hyperthermophilic archaeon *M. jannaschi*. CFTR NBD1 has been shown to be insoluble, forming inclusion bodies when expressed in *E. coli* (Qu & Thomas, 1996), unless fused to soluble protein such as wild-type MBP (Ko *et al.*, 1993) or GST (King & Sorscher, 1998). MJ1267, however, has proven much more soluble, yielding 10% soluble protein from a T7 expression system in BL21 *E. coli*.

When expressed in DH5 $\alpha$  on indicator plates, both CFTR NBD/ $\alpha$  fusions result in very little blue color, even after 48 hr of growth, although the NBD1-D/ $\alpha$  fusion appears to complement measurably more than NBD1-B. By contrast, expression of the MJ1267/ $\alpha$  fusion results in a significantly elevated level of blue color when compared to either of the CFTR NBD/ $\alpha$  fusion proteins. The MBP/ $\alpha$  fusion proteins express at higher levels than the NBD/ $\alpha$  fusions as a group, and thus more activity. It should be noted that relative levels of  $\alpha$ -complementation, as evidenced by blue color on indicator plates, can be observed at the single

colony level for each of the constructs tested, providing a measure that is independent of plated cell density.

To test whether the  $\alpha$ -complementation assay is adaptable to a format amenable to rapid-throughput screening, the constructs described above were analyzed for the development of blue color in a 96-well plate  $\beta$ -gal assay. The levels of blue color obtained in the micro titer plate assay for each construct agrees well with that obtained in the agar plate assay. In fact, the difference in color levels observed upon comparison of the two CFTR-NBD/ $\alpha$ -fusions is more apparent in the 96-well plate assay.

To verify the hypothesis that the intensity of blue color on indicator plates is reporting target protein solubility, the amount of soluble *versus* insoluble protein was measured in biochemical fractionation experiments. *E. coli* expressing wild-type, G32D, I33P, and G32D/I33P-MBP/ $\alpha$  fusions were subjected to cell disruption and fractionation by centrifugation. Analysis by SDS PAGE of the soluble and insoluble fractions for each fusion protein revealed a correlation between solubility and level of blue color on Xgal plates. It is important to note that the aga plate  $\beta$ -gall assay, after long incubation times, is most sensitive to changes from insoluble to higher levels of solubility, the range of greatest practical utility. The wild-type MBP/ $\alpha$  fusion fractionates primarily to the supernatant, while the double mutant (G32D/I33P) fractionates primarily to the pellet. Fractionation results were further confirmed by Western blots probed with anti-MBP antibodies. The fraction of MBP/ $\alpha$  fusions that are soluble is in agreement with the previously published stability and folding yield of these mutants without the  $\alpha$ -fragment marker (Betton & Hofnung, 1996). This suggests that the  $\alpha$ -fragment does not significantly impact the overall solubility characteristics of the MBP fusion proteins and is therefore a good reporter of target protein solubility. Similarly, the high levels of blue color observed for the GST/ $\alpha$  and TRx/ $\alpha$  fusions correlates well with the biochemical fractionation experiments, which indicate a majority of both of these proteins partions to the soluble fraction.

A correlation between the biochemical solubility and  $\alpha$ -complementation (as indicated by blue color of colonies in the plate assays) also was demonstrated for the NBD/ $\alpha$  fusion

constructs. Both CFTR NBD/ $\alpha$  fusion proteins exhibit little to no blue color, and virtually all of the fusion protein partitions to the insoluble fraction whether expressed with (DH5 $\alpha$  expression) or without (BL21 expression) the  $\alpha$ -fragment. In contrast, MJ1267, when expressed as an  $\alpha$ -fragment fusion, produces a significantly higher level of blue color relative to either of the CFTR-NBD/ $\alpha$  fusions. This correlates with the partial solubility of MJ1267 either with (DH5 $\alpha$  expression) or without (BL21 expression) the  $\alpha$ -fragment. Taken together, these results suggest that in these cases, the relatively small  $\alpha$ -fragment, when fused to a target polypeptide, does not have large effects on the target's solubility; neither increasing that of the otherwise insoluble targets (CFTR-NBDs), nor decreasing that of the partially soluble one (MJ1267).

A quantitative measure of  $\alpha$ -complementation of  $\beta$ -gal by each of the fusion targets was obtained by the direct measurement of activity in cell lysates. A total of four MBP folding variants were utilized to establish the quantitative relationship within a target system between  $\beta$ -gal activity and biochemical solubility. Table 3 summarizes the results of these *in vitro* enzyme assays.

TABLE 3	
Target Protein	$\beta$ -gal Activity (units/cell)
MBP wild-type	102 +/- 19
G32D	94 +/- 21
I33P	46 +/- 12
G32D/I33P	14 +/- 3
GST	134 +/- 8
TRx	159 +/- 14
CFTR NBD1-B	5 +/- 1
CFTR NBD1-D	6 +/- 2
MJ1267 (LivF)	12 +/- 6

A unit of  $\beta$ -gal activity is defined as the amount of enzyme required to hydrolyze one  $\mu$ mole of ONPG to o-nitrophenol and D-galactose per minute. Note that the polylinker between MBP (and mutants thereof) and the  $\alpha$ -fragment is 36 residues in length. This linker was reduced to 9 residues during construction of the CFTR-, LivF-, GST-, and TRx- $\alpha$  fusion constructs.

Activity correlates well with the relative levels of blue color observed for these constructs. The plate assay is less able to distinguish highly soluble targets from those of intermediate solubility (MBP single mutants) most likely due to integration of the signal during growth of the colonies. FIG. 2 shows a linear relationship between the enzymatic activity (Table 3) and the biochemical  
5 soluble fraction for each of the MBP/ $\alpha$  fusions as assessed by densitometry of Coomassie-stained gels. Again, the activities show a linear correlation with the periplasmic folding yields for the unfused MBPs reported by Betton and Hofnung (1996), further supporting the assay's ability to report on the intrinsic folding/solubility properties of the target proteins. The differing magnitude of the effects reported here when compared with those previously reported by Betton  
10 and Hofnung (1996) may reflect the cellular environments where folding takes place since the present constructs must fold in the cytoplasm.

In addition to cystic fibrosis, many other human diseases are associated with inappropriate folding and/or aggregation of proteins (Thomas *et al.*, 1995; Tan & Pepys, 1994;  
15 Wells & Warren, 1998). To test whether the structural complementation assay has application to such proteins, the Alzheimer's A $\beta$  (1-42) peptide, which forms insoluble fibrils in the brains of affected individuals, was selected as an additional test case. When fused to the  $\alpha$ -fragment and expressed in *E. coli* on indicator plates, the fusion protein is unable to efficiently complement  $\beta$ -gal activity, resulting in very little development of blue color. In contrast, mutation of  
20 phenylalanine to proline at position 19 of A $\beta$  (F19P), a mutation known to retard fibril formation *in vitro* (Wood *et al.*, 1995), results in a clear and measurable increase in blue color on indicator plates, approximately a three-fold increase in  $\beta$ -gal activity, and increased fusion protein in the soluble fraction at equivalent levels of expression. Recently, Culvenor and co-workers reported the production of "large intracellular deposits" of A $\beta$ -immunoreactive material upon the  
25 expression of A $\beta$ (1-42) as a tandem head-to-tail duplex in yeast (Culvenor *et al.*, 1998). To assess the ability of this assay to report on the solubility state of such a construct, the inventors assembled and expressed a tandem repeat of A $\beta$  as a fusion with the  $\alpha$ -fragment (A $\beta$ -rpt). Colonies expressing the A $\beta$ -rpt/ $\alpha$  fusion protein exhibit no detectable blue color on indicator plates, *in vitro*  $\beta$ -gal activity less than that observed for the wild-type A $\beta$ / $\alpha$  fusion, and no  
30 detectable protein is in the soluble fraction. Interestingly, the A $\beta$ -rpt protein aggregates to form

a ladder of increasingly higher molecular weight insoluble species, a property absent from the single A $\beta$ / $\alpha$  fusion and perhaps more reflective of the disease condition.

\* \* \* \* \*

5           All of the compositions and/or methods disclosed and claimed herein can be made and  
executed without undue experimentation in light of the present disclosure. While the  
compositions and methods of this invention have been described in terms of preferred  
embodiments, it will be apparent to those of skill in the art that variations may be applied to the  
compositions and/or methods and in the steps or in the sequence of steps of the method described  
10   herein without departing from the concept, spirit and scope of the invention. More specifically,  
it will be apparent that certain agents which are both chemically and physiologically related may  
be substituted for the agents described herein while the same or similar results would be  
achieved. All such similar substitutes and modifications apparent to those skilled in the art are  
deemed to be within the spirit, scope and concept of the invention as defined by the appended  
15   claims.

## REFERENCES

The following references, to the extent that they provide exemplary procedural or other details supplementary to those set forth herein, are specifically incorporated herein by reference.

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U.S. Patent No. 4,857,451

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